Form **990-PF**Department of the Treasury

EXTENDED TO NOVEMBER 15, 2021 Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047 **2020**Onen to Public Inspection

For calendar year 2020 or tax year beginning , and ending A Employer identification number Name of foundation HAROLD ALFOND FOUNDATION 22-3281672 Number and street (or P.O. box number if mail is not delivered to street address) Room/suite **B** Telephone number C/O DEXTER ENTRPS, TWO MONUMENT SQ (207)828-7999City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here PORTLAND, ME 04101 **D** 1. Foreign organizations, check here G Check all that apply: Initial return Initial return of a former public charity Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation **H** Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J Accounting method: Accrual F If the foundation is in a 60-month termination (from Part II, col. (c), line 16) Other (specify) under section 507(b)(1)(B), check here ...▶ 1,243,604,431. (Part I, column (d), must be on cash basis.) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) for charitable purposes (cash basis only) expenses per books income 273,423,811. N/A Contributions, gifts, grants, etc., received Check if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments 1,991,853. 1,991,853. STATEMENT 8,105,547. 7,921,957. STATEMENT 4 Dividends and interest from securities 5a Gross rents **b** Net rental income or (loss) 27,767,063. 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 29,106,805. 7 Capital gain net income (from Part IV, line 2) 23,687,191. 8 Net short-term capital gain Income modifications Gross sales less returns and allowances **b** Less: Cost of goods sold ... c Gross profit or (loss) 5,795,558. 2,166,511. STATEMENT 11 Other income 317,083,832. 35,767,512. Total. Add lines 1 through 11 0. 0. 13 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages 15 Pension plans, employee benefits 107,393. 81,119. 26,274. Expenses 16a Legal fees STMT 4 **b** Accounting fees **STMT** 5 27,625 11,050. 16,575. 971,598. 1,778,022. 806,424. c Other professional fees STMT 6 0. 13,149. 17 Interest 0. 1,111,589 Taxes STMT 382,674. 0. 18 Depreciation and depletion 20 Occupancy 26,082. 10,433. 15,649. 21 Travel, conferences, and meetings and 22 Printing and publications 23 Other expenses STMT 8 9,475,350. 3,377,566. 10,089. 24 Total operating and administrative 12,539,210. 4,669,266. 1,040,185. expenses. Add lines 13 through 23 51,931,376. 51,645,654. 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 64,470,586 4,669,266. 52,685,839. Add lines 24 and 25 27 Subtract line 26 from line 12: 252,613,246 **a** Excess of revenue over expenses and disbursements 31,098,246. **b Net investment income** (if negative, enter -0-) N/A C Adjusted net income (if negative, enter -0-).

D	Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year		of year		
•	column should be for end-of-year amounts only.			(a) Book Value	(b) Book Value	(c) Fair Market Value	
	1	Cash - non-interest-bearing			5,323.	3,033	3,033.
	2	Savings and temporary cash investments			8,482,542.	5,332,494	. 5,332,494.
		Accounts receivable					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable					
	'	Less: allowance for doubtful accounts					
	_	Grants receivable					+
		Receivables due from officers, directors, tr					
	١ '						
	_	disqualified persons					
	′	Other notes and loans receivable					
	١.	Less: allowance for doubtful accounts					
Assets		Inventories for sale or use					
SS		Prepaid expenses and deferred charges					
4	10a	Investments - U.S. and state government of	bligations		445 000 504	440 500 005	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
	b	Investments - corporate stock	STMT	10			. 303,363,671.
	C	Investments - corporate bonds	STMT	11	43,221,926.	26,973,973	. 28,524,946.
	11	Investments - land, buildings, and equipment: basis					
		Less: accumulated depreciation	>				
	12	Investments - mortgage loans					
	13	Investments - mortgage loans Investments - other	STMT	12	414,682,466.	661,527,552	. 906,375,287.
		Land, buildings, and equipment: basis					
		Less: accumulated depreciation	>				
	15	Other assets (describe	STATEMENT	13)	5,000.	5,000	5,000.
		Total assets (to be completed by all filers					
		instructions. Also, see page 1, item I)			583,629,758.	813,380,857	1,243,604,431.
	17	Accounts payable and accrued expenses					
		Grants payable					
S		Deferred revenue					
İţ		Loans from officers, directors, trustees, and other					
Liabilities		Mortgages and other notes payable					
Ë	22	Other liabilities (describe	STATEMENT	14)	4,621,001.	4,585,774	
		·				· · ·	
	23	Total liabilities (add lines 17 through 22)			4,621,001.	4,585,774	
_		Foundations that follow FASB ASC 958, o				· · ·	
Ś		and complete lines 24, 25, 29, and 30.					
nces	24	Net assets without donor restrictions					
aa		Net assets with donor restrictions					
Fund Bala		Foundations that do not follow FASB ASO					
Ĕ		and complete lines 26 through 30.	200, 000				
ρ	26	Capital stock, trust principal, or current fur	nds		579,008,757.	808.795.083	
		Paid-in or capital surplus, or land, bldg., ar			0.	0	
Net Assets	28	Retained earnings, accumulated income, e			0.	0	
ţ	29	Total net assets or fund balances			579,008,757.		
Š		Total fiet assets of faile balances			373700077071	00071307000	•
_	30	Total liabilities and net assets/fund bala	ıces		583,629,758.	813,380,857	
P	art	III Analysis of Changes in N	let Assets or Fu	ınd B	alances		
1	Total	net assets or fund balances at beginning o	f year - Part II. column (a), line	29		
		t agree with end-of-year figure reported on				1	579,008,757.
		increases not included in line 2 (itemize)					
							224 422 222
		eases not included in line 2 (itemize)				ATEMENT 9	00 006 000
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29							808,795,083.

Form 990-PF (2020) HAR	OLD ALFOND FOU	JNDATION				22-328	1672	Page 3
Part IV Capital Gains	and Losses for Tax o	n Investment	Income					
(a) List and describe 2-story brick w	the kind(s) of property sold (fo arehouse; or common stock, 20	r example, real estate 00 shs. MLC Co.)	,	(b) How acq P - Purcha D - Donati	uired ase on	(c) Date acquired (mo., day, yr.)	(d) Dat (mo., d	te sold ay, yr.)
1a								
b SEE ATTACHED	STATEMENTS							
С								
d								
е								
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost plus ex	or other basis pense of sale			(h) Gain or (loss) ((e) plus (f) minus () (g))	
a								
b								
С								
d			005 50				2 605	101
e 26,612,980.			,925,78	9.			3,687	,191.
Complete only for assets showi					(I)	Gains (Col. (h) gain (k), but not less that	minus	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Exc over c	ess of col. (i) ol. (j), if any		COI.	Losses (from col. (
a								
b								
С								
d								4.04
е						2	3,687	,191.
2 Capital gain net income or (net ca	apital loss) $ \begin{cases} If gain, also \\ If (loss), end \end{cases} $	enter in Part I, line 7 ter -0- in Part I, line 7		} 2		2	3,687	,191.
3 Net short-term capital gain or (lo	ss) as defined in sections 1222((5) and (6):						
If gain, also enter in Part I, line 8 Part I, line 8				} 3		N/A		
	Jnder Section 4940(e)							
	ON 4940(e) REPEALE	D ON DECEM	BER 20, 20	19 - DO I	NOT CO	OMPLETE.		
1 Reserved							7.15	
(a)	(b)			(c)		Re	(d) served	
Reserved	Reser	vea	K	leserved				
Reserved								
Reserved								
Reserved								
Reserved								
Reserved								
2 Reserved						2		
3 Reserved						3		
4 Reserved						4		
5 Reserved						5		
6 Reserved						6		
7 Reserved						7		
8 Reserved						8		

Form **990-PF** (2020)

	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948	s - se	e insi	tructio	ns)		
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.)					
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)						
b	Reserved	\	1		43	2,2	66.
C	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4%						
	of Part I, line 12, col. (b)	J					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2				<u>0.</u>
	Add lines 1 and 2		3		43	2,2	66.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5		43	2,2	66.
6	Credits/Payments:						
	2020 estimated tax payments and 2019 overpayment credited to 2020 6a 543,5	79.					
	Exempt foreign organizations - tax withheld at source 6b	0.					
	Tax paid with application for extension of time to file (Form 8868) 6c 78,0	00.					
d	Backup withholding erroneously withheld 6d	0.					
7	Total credits and payments. Add lines 6a through 6d		7		62	1,5	79.
	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached		8				0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	. •	9				
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10		18	<u>9,3</u>	13.
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 189,313. Refund	ed ►	11				<u>0.</u>
	rt VII-A Statements Regarding Activities						
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or in					Yes	
	any political campaign?				1a		Х
	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for t	ne defin	ition		1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or						
	distributed by the foundation in connection with the activities.						
C	Did the foundation file Form 1120-POL for this year?				1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	_					
	(1) On the foundation. ► \$ (2) On foundation managers. ► \$	0.	i.				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation	n					
	managers. ► \$ 0 •						
	Has the foundation engaged in any activities that have not previously been reported to the IRS?				2		X
	If "Yes," attach a detailed description of the activities.						
	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorpo	-					
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes				3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?				4a	X	
	If "Yes," has it filed a tax return on Form 990-T for this year?				4b	Х	
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?				5		Х
	If "Yes," attach the statement required by General Instruction T.						
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:						
	By language in the governing instrument, or						
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the 						
	remain in the governing instrument?				6	<u> </u>	
7	Did the foundation have at least $$5,000$ in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part $$10,000$	۲V			7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.						
	ME, CA						
	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)						
	of each state as required by General Instruction G? If "No," attach explanation				8b	_X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar							77
	year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV				9		X
10	Did any persons become substantial contributors during the tax year? If "Yes " attach a schedule listing their names and addresse	。 S	.T.W.T,	15 l	10 l	Х	

Majory time during the year, did the toundation, directly or indirectly, even a controlled entity within the meaning of section 512(b) (13)? If "Yes," attach schedule. See instructions	Pá	art VII-A Statements Regarding Activities (continued)			
11 A any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 51(b) (1)? If Yes, "attach schedule. See instructions or section 51(b) (1)? If Yes, "attach schedule. See instructions or several forms of the schedule of the public inspection requirements for its annual returns and exemption application? 12				Yes	No
section 572(b) 1/32) If 1/92, an activity 1/92, an activity 1/92, and 1/92,	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
12 bit the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 17 'th'es, 'fastion statement. See instructions 18 bit the foundation comply with the public inspection requirements for its annual returns and exemption application? 19 Website address ➤ WWW .HAROLDALPLENDIFOUNDATION.ORG 10 BEXTER ENTERPRISES, INC. Telephone no. ➤ 20.7-8.28-7.999 Located at ➤ TWO MONUMENT SQUARE, PORTLAND, ME 11 The books are in case of ➤ DEXTER ENTERPRISES, INC. Telephone no. ➤ 20.7-8.28-7.999 Located at ➤ TWO MONUMENT SQUARE, PORTLAND, ME 10 Section 494(3(1) monexempt charable trusts tiling form 990.97° in loc of form 1041- check here 11 and enter the amount of tax-exempt interest received or accrued during the year 12 and enter the amount of tax-exempt interest received or accrued during the year 13 All As my time during calendar year 20.0, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 13 Exemple 10 All Associations for exceptions and filing requirements for FincEN form 114. If Yes, enter the name of the foreign country? 14 The TWO Associations for exceptions and filing requirements for FincEN form 114. If Yes, enter the name of the foreign country. 15 Exemple 10 Associations for exceptions and filing requirements for FincEN form 142. If Yes, enter the name of the foreign country. 16 Supplementations for exceptions and filing requirements for FincEN form 142. If Yes, enter the name of the foundation (either directly or indirectly); 16 Supplementations for the foundation (either directly or indirectly); 17 Engage in the Suberocate Received or exception applies. 18 Fince form 4720 If any items in Suberocate Association or pay or reimburse the expense of, a disqualified person? 19 Explain the suberocate or facilities to (or accept them form) a disqualified person? 10 Explain the foundation of government suberocate and the foundation fou			11		х
If "Yes," altach statement. See instructions 12 X	12		<u> </u>		
13 Interest 13 Interest 13 Interest 13 Interest 13 Interest 14 Interest 15 Interest			12		х
With HAROLDALFONDFOUNDATION.ORG 1 The blooks are in case of ▶ DEXTER ENTERRISES, INC. Located at ▶ TWO MONUMENT SQUARE, PORTLAND, ME 2 TIP4 ▶ 04101 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 999-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued furing the year 16 At any time during calendary year 2200, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, if Yes, enter the name of the foreign country ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or lesaing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or yor erimburs the expenses of, a disqualified person? (5) Fansefer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of adaqualified person (or make any of either available for the benefit or use of adaqualified person (or make any of either available for the benefit or use of adaqualified person (or make any of either available for the benefit or use of adaqualified person (or make any of either available for the first day of the tax year beginning in 2020? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first d	13			Х	
14 The books are in care of ▶ DEXTER ENTERPRISES, INC. Telephone no. ▶ 207-828-7999 Located at ▶ TWO MONUMENT SQUARE, PORTLAND, ME 71P+4 ▶ 04101 15 Section 4947(a)(1) nonexempt charitable trusts fling form 990-PF in leu of Form 1041 check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year 16 A any time during calendary accrued. 18 A any time during calendary accrued. 19 A provision of the instructions for exceptions and filing requirements for FinCEN form 114. If Yes, enter the name of the foreign country ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any term is checked in the "Yes" column, unless an exception applies. 1 by a state of the foundation (either directly or indirectly): (1) Engage in the sale or exclusion, or or therwise extend credit to (or accept it from) a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimbruse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of the raviable for the benefit or use of a disqualified person or make any of the incompensation of the foundation accept to make a grant					
Located at PTWO MONUMENT SQUARE, PORTLAND, ME ME ME ME ME ME ME M	14	The books are in care of ▶ DEXTER ENTERPRISES, INC. Telephone no. ▶ 207-82	8-7	999	
15 Section 4947(a)(1) nonexempt charatable trusts filing form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendary year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 If any item is checked in the "Yes" column, unless an exception applies. 16 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) 18 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) 29 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) 30 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 31 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 32 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 33 Furnish goods, services, or facilities to (or accept them from) a disqualified person? 44 Pay compensation to, or pay or reimburse the expenses of, a disqualified person? 45 Farnas rear in income or assists to a disqualified person (or make any of other available for the benefit or use of a disqualified person)? 46 Pay compensation to, or pay or reimburse the expenses of, a disqualified person? 47 Farnas rearrange in the service, il terminating within 90 days.) 48 If any arraws is 'is '76 16 (1/6), did any of the acts fall to qualify under the exceptions described in Regulation section 53.4941(0)-30 in a current notice regarding disaster assistance? See instructions 48 Organizations relying on a current notice regarding disaster assistance? See instructions 59 Farnas rearrange in a prior year in any of the acts d			101		
and onter the amount of tax-exempt interest received or accrued during the year A tany time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filling requirements for FINCEN Form 114. If "Yes," enter the name of the foreign country > Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 a During the year, did the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer ary income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, il terminating within 90 dispas, b If any answer is "Yes" to 1a(1)-(6), did any of the acts fait to qualify under the exceptions described in Regulations sections 33.941 (g)-3 or in a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the list day of the tax year beginning before 2020? 1 Texts its day of the tax year beginning in 2020? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a)(2) are being applied to any	15				
Securities, or other financial account in a foreign country				/A	
securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person? Yes No (6) Agree to pay money or property to a government official? (Exception, Check No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days, Yes No (7) Agray answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 3447(1(3)-6) in a current notice regarding disaster assistance? See instructions Yes No (7) Agray answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 4942(14)(14)-3 or in a current notice regarding disaster assistance? See instructions Yes No (7) Agray answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations Yes No (1) Agray answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the excepti	16			Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise excend credit to (or accept it from) Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No bil any natives its "Set" to 14 (1/-6), did any of the acts fall to quality under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance, beck here Column the foundation engage in a prior year in any of the acts fall to quality under the exceptions described in Regulations Description of the set of the			16		Х
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c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.					
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that		/	2b		
during the year? b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4 X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that					
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b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	38				
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4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that X			26		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	4	a. Did the foundation invest during the year any amount in a manner that would iconordize its charitable purposes?			У
			48		21
			4h		х

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Part VII-B	Statements Regarding Activities for which	Form 4/20 May Be i	Requirea (contint	ued)			
	year, did the foundation pay or incur any amount to:				•	Yes	No
(1) Carry	on propaganda, or otherwise attempt to influence legislation (sectio	n 4945(e))?	Ye	es X No			
(2) Influer	nce the outcome of any specific public election (see section 4955); o	or to carry on, directly or indire	ectly,				
any vo	ter registration drive?			es X No			
	e a grant to an individual for travel, study, or other similar purposes		Ye	es 🔼 No 📗			
	e a grant to an organization other than a charitable, etc., organization						
4945(d)(4)(A)? See instructions		<u>X</u> Ye	es 📖 No 📗			
	e for any purpose other than religious, charitable, scientific, literary						
the pr	evention of cruelty to children or animals?			es X No			
	ver is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un		-				
section 53.	4945 or in a current notice regarding disaster assistance? See instr	uctions			5b	Х	
	ons relying on a current notice regarding disaster assistance, check			▶□			
	er is "Yes" to question 5a(4), does the foundation claim exemption f			l			
	e responsibility for the grant?	E STATEMENT I	. / <u> </u>	es 🔲 No 📗			
	ach the statement required by Regulations section 53.4945-5(d).						
	ndation, during the year, receive any funds, directly or indirectly, to			□			
a personal	benefit contract?		Ye	es 🔼 No	۸.		v
	ndation, during the year, pay premiums, directly or indirectly, on a p	personal benefit contract?			6b		X
	Sb, file Form 8870.	abolter transportion?	□ v _e	No V No			
h If "Voo " die	e during the tax year, was the foundation a party to a prohibited tax s I the foundation receive any proceeds or have any net income attrib	utable to the transaction?	re	S LAL NU	7b		
	dation subject to the section 4960 tax on payment(s) of more than S				70		
				se X No			
Part VIII	achute payment(s) during the year? Information About Officers, Directors, Trust	ees Foundation Ma	nagers Highly				
	Paid Employees, and Contractors	eco, i oundation inc	inagers, riigini	,			
1 List all offi	cers, directors, trustees, and foundation managers and	their compensation.					
		(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans and deferred	, (e) Expe count,	ense
	(a) Name and address	nours per week devoted to position	(If not paid, enter -0-)	and deferred compensation	ac ac	count, allowan	otner ces
			,	compensation			
		1					
SEE STA	TEMENT 16	1	0.	0	.		0.
]					
2 Compensa	tion of five highest-paid employees (other than those in		enter "NONE."	(4)	· .	1 Even	
(a) Nai	ne and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	ac ac	e) Expe count,	ense other
• • • • • • • • • • • • • • • • • • • •		devoted to position	(-)	compensation	- 6	allowan	ces
NO.	ONE						
					4		
		4					
					+-		
		4					
					+		
		1					
		I			1		

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundate Paid Employees, and Contractors (continued)	tion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DEXTER PRIVATE EQUITY TE LLC	INVEST PORTFOLIO	
TWO MONUMENT SQUARE, PORTLAND, ME 04101	DEDUCTIONS	2,525,418.
DEXTER ENTERPRISES, INC.	INVESTMENT & GRANT	
TWO MONUMENT SQUARE, PORTLAND, ME 04101	ADMINISTRATION	1,264,280.
DEXTER US EQUITY TE LLC	INVEST PORTFOLIO	
TWO MONUMENT SQUARE, PORTLAND, ME 04101	DEDUCTIONS	957,853.
DEXTER REAL ASSETS TE, LLC	INVEST PORTFOLIO	
TWO MONUMENT SQUARE, PORTLAND, ME 04101	DEDUCTIONS	951,821.
DEXTER HEDGE FUND TE, LLC	INVEST PORTFOLIO	
TWO MONUMENT SQUARE, PORTLAND, ME 04101	DEDUCTIONS	901,951.
Total number of others receiving over \$50,000 for professional services		
Part IX-A Summary of Direct Charitable Activities		I.
List the foundation's four largest direct charitable activities during the tax year. Include relevant statisti	cal information such as the	_
number of organizations and other beneficiaries served, conferences convened, research papers produ	uced, etc.	Expenses
1 NONE		
·		
		0.
2		
3		
<u> </u>		
<u> </u>		
"		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on I	ines 1 and 2.	Amount
1 N/A		
1		
2		
All other program-related investments. See instructions.		
3		

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Total. Add lines 1 through 3

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Pa	Minimum Investment Return (All domestic foundations mu	ıst complete	this part. Foreign four	ndations	, see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable,	etc., purpose	s:		
а	Average monthly fair market value of securities			1a	898,623,083.
	Average of monthly cash balances			1b	5,409,503.
	Fair market value of all other assets			1c	
	Total (add lines 1a, b, and c)			1d	904,032,586.
	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	904,032,586.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, so	ee instruction	s)	4	13,560,489.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on P	Part V, line 4		5	890,472,097.
6	Minimum investment return. Enter 5% of line 5			6	44,523,605.
Pa	Distributable Amount (see instructions) (Section 4942(j)(3) and foreign organizations, check here and do not complete this part.)	(j)(5) private	operating foundations an	d certain	
1	Minimum investment return from Part X, line 6			1	44,523,605.
-		2a	432,266.		, ,
	Income tax for 2020. (This does not include the tax from Part VI.)		108,459.		
	Add lines 2a and 2b			2c	540,725.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	43,982,880.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	43,982,880.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XI			7	43,982,880.
Pa	art XII Qualifying Distributions (see instructions)	,			
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purpo	ses:			
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			1a	52,685,839. 0.
b	Program-related investments - total from Part IX-B			1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable			2	
3	Amounts set aside for specific charitable projects that satisfy the:				
а	Suitability test (prior IRS approval required)			3a	285,723.
b	Cash distribution test (attach the required schedule)			3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and	Part XIII, line	4	4	52,971,562.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investi	ment			
	income. Enter 1% of Part I, line 27b			5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	52,971,562.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years who 4940(e) reduction of tax in those years.			ualifies fo	or the section

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				43,982,880.
2 Undistributed income, if any, as of the end of 2020:				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
a Enter amount for 2019 only			0.	
b Total for prior years:		_		
, ,, ,,,		0.		
3 Excess distributions carryover, if any, to 2020:				
a From 2015				
b From 2016				
c From 2017 d From 2018				
eFrom 2019 6,675,570.				
f Total of lines 3a through e	6,675,570.			
4 Qualifying distributions for 2020 from	0,0,0,0			
Part XII, line 4: ►\$ 52,971,562.				
a Applied to 2019, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2020 distributable amount				43,982,880.
e Remaining amount distributed out of corpus	8,988,682.			
Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,664,252.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which				
the section 4942(a) tax has been previously		0		
assessed		0.		
d Subtract line 6c from line 6b. Taxable		0.		
amount - see instructions e Undistributed income for 2019. Subtract line		0.		
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2020. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2021				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2015				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2021.	15 664 050			
Subtract lines 7 and 8 from line 6a	15,664,252.			
10 Analysis of line 9:				
a Excess from 2016 b Excess from 2017				
c Excess from 2018				
dExcess from 2019 6,675,570.				
e Excess from 2020 8,988,682.				

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Part XIV Private Operating F	oundations (see in	structions and Part VII	-A, question 9)	N/A	
1 a If the foundation has received a ruling o	r determination letter tha	it it is a private operating			
foundation, and the ruling is effective fo	r 2020, enter the date of	the ruling			
b Check box to indicate whether the found	dation is a private operati	ing foundation described i	n section	4942(j)(3) or 49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2020	(b) 2019	(c) 2018	(d) 2017	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4, for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	ete this part only	if the foundation	had \$5,000 or mo	ore in assets
at any time during t	he year-see inst	ructions.)			
1 Information Regarding Foundation	n Managers:				
a List any managers of the foundation wh	o have contributed more	than 2% of the total cont	ributions received by the	foundation before the clos	se of any tax
year (but only if they have contributed n	nore than \$5,000). (See s	section 507(d)(2).)			
NONE					
b List any managers of the foundation wh other entity) of which the foundation ha			or an equally large portion	n of the ownership of a pa	artnership or
NONE					
2 Information Regarding Contribut	ion. Grant. Gift. Loan	. Scholarship, etc., Pr	ograms:		
Check here ► if the foundation of			•	ot accept unsolicited requ	ests for funds. If
the foundation makes gifts, grants, etc.,	•	•	•		
a The name, address, and telephone num					
REFER TO GRANT GUIDE					-828-7999
DEXTER ENTERPRISES I				•	
b The form in which applications should be				<u> </u>	
REFER TO GRANT GUIDE				ON.ORG	
c Any submission deadlines:		<u> </u>			
REFER TO GRANT GUIDE	LINES AT W	W.HAROLDALF	ONDFOUNDATI	ON.ORG	
d Any restrictions or limitations on award:	s, such as by geographic	al areas, charitable fields,	kinds of institutions, or o	ther factors:	

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REFER TO GRANT GUIDELINES AT WWW.HAROLDALFONDFOUNDATION.ORG

22-3281672 Form 990-PF (2020) HAROLD ALFOND FOUNDATION Page 11 Supplementary Information (continued) Part XV Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to Amount status of contribution any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year 7 LAKES ALLIANCE ÞС 2020/2021 PO BOX 250 CAPACITY-BUILDING BELGRADE LAKES, ME 04918-0250 INITIATIVE 100,000. ALFOND SCHOLARSHIP FOUNDATION SO I OPERATIONS 482 CONGRESS ST STE 303 PORTLAND, ME 04101-3437 787,820. ALFOND SCHOLARSHIP FOUNDATION so I ASF SCHOLARSHIP 482 CONGRESS ST STE 303 PAYMENTS PORTLAND, ME 04101-3437 5,848,240. ALFOND SCHOLARSHIP FOUNDATION so I OUARTERLY FAME 482 CONGRESS ST STE 303 EXPENSES PORTLAND, ME 04101-3437 303,181. ALFOND YOUTH & COMMUNITY CENTER PC. STRATEGIC LONG TERM 126 NORTH STREET CAPITAL AND WATERVILLE, ME 04901 DEVELOPMENT SUSTAINABILITY AT THE ALFOND YOUTH CENTER 492,950. SEE CONTINUATION SHEET(S) 51,645,655. Total **b** Approved for future payment CENTER FOR CURRICULUM REDESIGN PC CYBERPANTHERS CS PATH 10 JAMAICAWAY #18 FOR EDUCATION, 792,000. JAMAICA PLAIN, MA 02130 EMPLOYABILITY AND LIFE 7 LAKES ALLIANCE ЬC 2020/2021 PO BOX 250 CAPACITY-BUILDING INITIATIVE BELGRADE LAKES, ME 04918-0250 125,000. COLBY COLLEGE ATHLETICS CENTER ÞС 4130 MAYFLOWER HILL

▶ 3b

7,500,000.

406,736,768.

CONTINUATION SHEET(S)

Total

WATERVILLE, ME 04901-8846

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Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated	l business income		ded by section 512, 513, or 514	(e)
3 a	(a)	(b)	(C) Exclu-	(d)	Related or exempt
1 Program service revenue:	Business code	Amount	sion code	Amount	function income
a	1				
	-				
С	-				
d					
<u> </u>					
f	-				
g Fees and contracts from government agencies	-				
2 Membership dues and assessments					
3 Interest on savings and temporary cash	··				
investments			14	1,991,853.	
4 Dividends and interest from securities	··		14	1,991,853. 8,105,547.	
5 Net rental income or (loss) from real estate:				. ,	
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income			14	5,795,558.	
8 Gain or (loss) from sales of assets other				3713373331	
than inventory	900099	2,558,571.	18	25,208,492.	
9 Net income or (loss) from special events		2,000,0121		23,200,2321	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
	-				
			\vdash		
D			I I		
c					
•					
c d		2.558.571.		41.101.450.	0.
c d e 12 Subtotal. Add columns (b), (d), and (e)		2,558,571.			0. 43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e)					0. 43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.)				13	
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e)				13	
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.)	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which inc	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
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c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
c d e 12 Subtotal. Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than	to the Acco	mplishment of Ex	emp	t Purposes	43,660,021.
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Form **990-PF** (2020) 023621 12-02-20

22-3281672 Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Exempt Organ	izations							
1	Did the o	rganization directly or indir	rectly engage in any	of the followin	ng with any other organizati	on described in sect	ion 501(c)		Yes	No
	•	, , , , -			g to political organizations?	1				
а	Transfers	s from the reporting founda	ation to a noncharita	ble exempt or	ganization of:					
										<u>X</u>
	(2) Othe	r assets						1a(2)		_X_
b		nsactions:								
										_ <u>X</u> _
										X
										_ <u>X</u> _
										_ <u>X</u> _
	(5) Loar	ns or loan guarantees						1b(5)		_ <u>X</u> _
(6) Performance of services or membership or fundraising solicitationsc Sharing of facilities, equipment, mailing lists, other assets, or paid employees										X
										X
d				-	edule. Column (b) should al	-	-		ets,	
		es given by the reporting to (d) the value of the goods, (ed less than fair market val	ue in any transactior	i or snaring arrangeme	nt, snow in		
(a)∟i	ine no.	(b) Amount involved	(c) Name of	f noncharitable	e exempt organization	(d) Description	of transfers, transactions,	and sharing arr	angeme	nts
				N/A						
0.	lo the for	undation directly or indirect	ly offiliated with are	ralatad ta ana	or more tax-exempt organ	izationa dagarihad				
2 a		•	•	•	tilore tax-exempt organ			Yes	X	No
h		complete the following sch						163		טוו ר
	11 103, 0	(a) Name of org			(b) Type of organization		(c) Description of relat	ionship		
		N/A			(2) 1) po or or gammanon		(5) 2 555			
					ng accompanying schedules and			May the IRS of	iscuss t	his
Sign and belief, it is due, correct, and complete. Declaration of prepare (only than taxpayer) is based on an information of which prepare has any knowledge.							return with the	prepare	er	
He	re					TRUSTI	EE	X Yes		No
	Sig	nature of officer or trustee			Date	Title	_			
	•	Print/Type preparer's na	ıme	Preparer's s	ignature	Date	Check if P	ΓIN		
				12-	IP TO NOM		self- employed			
Paid DANIEL P. DOIRON Daniel P. Danie, CPA 11/11						11/11/21		201206		
	eparer	Firm's name ► ALB	IN, RANDA	LL & B	ENNETT		Firm's EIN ► 01	-04480	06	
Us	e Only									
					IIDDLE STREET	1				
		PO	RTLAND, M	E 0411	2-0445		Phone no. 207			
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(a) List and describe the kindigs of property solds, e.g., real estate, 20 pt. 10 pt. 2-bity prints reviewoes; or common stols, 200 str. Nat. Un. 0. 1 pt. 2-bity prints reviewoes; or common stols, 200 str. Nat. Un. 0. 1 pt. 2-bity prints reviewoes; or common stols, 200 str. Nat. Un. 0. 1 pt. 2-bity prints review of the common strength of the common str. 20 pt. 20	Part IV Capital Gains and Lo	osses for Tax on Investment Income										
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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).												
If gain, also enter in Part I, line 8, column (c).												
	If gain, also enter in Part I, line 8,	, column (c).	· · ·	3								

CONTINUATION FOR 990-PF, PART IV HAROLD ALFOND FOUNDATION 22-3281672 PAGE OF 2 Part IV Capital Gains and Losses for Tax on Investment Income (**b)** How acquired P - Purchase D - Donation (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a ADJUSTMENT FOR GAINS INCLUDED IN UBTI P 07/01/2012/31/20 07/01/2012/31/20 b AMERICAN INFRASTRUCTURE MLP PASS-THROUGH D C ENTREPRENEURIAL VALUE FUND PASS-THROUGH D 07/01/2012/31/20 d е g h m n 0 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 2,558,571. -2,558,571. a 68,157. 68,157. b 155,401. 155,401. d е h m n 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) but not less than "-0-") (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any -2,558,571. a 68,157. b 155,401. С е m n 0

23,687,191.

N/A

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If (loss), e	enter "-0-" in Part I, line	8

023591

If gain, also enter in Part I, line 8, column (c).

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } If (loss), enter "-0-" in Part I, line 7

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y		_		
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient		
ALFOND YOUTH & COMMUNITY CENTER		₽C	WATERVILLE CAL RIPKEN	
126 NORTH STREET			LEAGUE	
WATERVILLE, ME 04901				150.
ALFOND YOUTH & COMMUNITY CENTER		PC	WELLNESS PROJECT	
126 NORTH STREET				
WATERVILLE, ME 04901				1,265,760.
AMERICAN UNIVERSITY IN BULGARIA		₽C	UNRESTRICTED	
910 17TH ST., N.W., SUITE 1100				
WASHINGTON, DC 20006				10,000.
ADOOGHOOV MENHAI HEAIHU CEDVICEC INC		PC	AROOSTOOK TEEN	
AROOSTOOK MENTAL HEALTH SERVICES INC. PO BOX 1018		FC	LEADERSHIP CAMP (ATLC)	
CARIBOU, ME 04736			PROGRAM	2,500.
				_,
BELGRADE REGIONAL HEALTH CENTER		PC	UNRESTRICTED	
PO BOX 304 BELGRADE LAKES, ME 04918-0304				15,000.
ELLOWING PRINCE, NO 04510 0304				13,000.
BETH ISRAEL CONGREGATION - BATH		PC	UNRESTRICTED	
906 WASHINGTON ST BATH, ME 04530-2653				2,000.
BATH, ME 04330-2033				2,000.
BETH ISRAEL CONGREGATION - WATERVILLE		PC	OPERATIONS	
291 MAIN STREET WATERVILLE, ME 04903				50,000.
WAIERVILLE, ME 04903				30,000.
BOSTON SYMPHONY ORCHESTRA INC		PC	UNRESTRICTED	
301 MASS AVENUE				40.000
BOSTON, MA 02115-4557				40,000.
BRUNSWICK COMMUNITY EDUCATION		₽C	UNRESTRICTED	
FOUNDATION				
PO BOX 1042				
BRUNSWICK, ME 04011-1042				5,000.
CATHANCE RIVER EDUCATION ALLIANCE		PC	UNRESTRICTED	
PO BOX 187				
TOPSHAM, ME 04086-0187				5,000.
Total from continuation sheets				44,113,464.

Property is an individual, to an individual, to an individual, to any following any solutions of status of contribution manager of contribution manager of contribution manager of contribution manager of status of contribution manager of contribution of contribution contribution manager of contribution manager of contribution of	Part XV Supplementary Information				
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CENTER POR CURRICULUM REDESION 10 JAMAICAPAY %18 11 JAMAICAPAY %18 12 JAMAICAPAY %18 13 JAMAICAPAY %18 14 JAMAICAPAY %18 15 JAMAICAPAY %18 16 JAMAICAPAY %18 16 JAMAICAPAY %18 16 JAMAICAPAY %18 16 JAMAICAPAY %18 17 JAMAICAPAY %18 18 JAMAICAPAY %18	·	show any relationship to		Purpose of grant or contribution	Amount
10 JAMAICAMAY \$18 JAMAICA PLAIN, MA 02130 CHILDREN'S CENTER EARLY INTERVENTION AND FAMILY SUPPORT 1 ALORN AVE AUGUSTA, ME 04330-0000 COASTAL MAINE BOTANICAL GARDENS INC. P.O. BOX 234 BOOTHBAY, ME 04537 COLBY COLLEGE 4130 MAYPLOWER HILL MATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYPLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLBY COLLEGE 4130 MAYPLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLBY COLBY COLURN HILL WATERVILLE 4130 MAYPLOWER HILL WAT	Name and address (nome of business)	or substantial contributor	recipient		
CHILDREN'S CENTER EARLY INTERVENTION AND FAMILY SUPPORT 1 ALDER AVE ANGUSTA, ME 04330-0000 COASTAL MAINE BOTANICAL GARDENS INC. P.O. BOX 234 BOGOTHEAY, ME 04537 COLBY COLLEGE PC ATHLETICS CENTER 4130 MAYFLOWER HILL MATERVILLE, ME 04901-8846 PC ART CENTER AND HOTEL 4130 MAYFLOWER HILL MATERVILLE, ME 04901-8846 PC MAIN STREET HOTEL DEVELOPMENT FROJECT 4130 MAYFLOWER HILL MATERVILLE, ME 04901-8846 PC MAIN STREET HOTEL DEVELOPMENT FROJECT 43 MAYFLOWER HILL MATERVILLE, ME 04901-8846 PC MAIN STREET HOTEL DEVELOPMENT FROJECT 43 HART RD PISGAN FOREST, NC 28768 EUCARE CENTRAL MAINE PC HAROLD ALFOND PC WARRENTILLE, ME 04901-6339 FIRST AMENUMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST MATERVILLE, ME 04301-6454 FC FOCUSMAINE SUPPORT 1,288,680 FC FOCUSMAINE SUPPORT 1,288,680 1,288,680	10 JAMAICAWAY #18		PC	FOR EDUCATION,	802 000
AND PAMILY SUPPORT 1 ALDEM AVE ADGUSTA, ME 04330-0000 COASTAL MAINE BOTANICAL GARDENS INC. P.O. BOX 234 BOOTHRAY, ME 04537 COLBY COLLEGE 4130 MAYPLOWER HILL MATERVILLE, ME 04901-8846 COLBY COLLEGE 42,000,000 FOUNDATION INCORPORATED 43 HART RD 57 MATERVILLE, ME 04901-8846 COLBY COLLEGE 43 HART RD 58 MATERVILLE, ME 04901-8846 COLBY COLLEGE 50 MAIN STREET HOTEL DEVELOPMENT PROJECT 4,500,000 COLBY COLLEGE 50 MAIN STREET HOTEL DEVELOPMENT PROJECT 50 MATERVILLE, ME 04901-8846 COLBY COLLEGE 50 MAIN STREET HOTEL COLLEGE 51 MATERVILLE, ME 04901-8846 COLBY COLLEGE 51 MAIN STREET HOTEL COLLEGE 52 MAIN STREET HOTEL COLLEGE 53 MAIN STREET HOTEL COLLEGE 54 MAIN STREET HOTEL COLLEGE 55 MAIN STREET HOTEL COLLEGE 56 MAIN STREET HOTEL COLLEGE 57 MATERVILLE, ME 04901-6339 COLBY COLLEGE 57 MAIN STREET HOTEL COLLEGE 57 MAIN STREET HOTEL COLLEGE 58 MAIN STREET HOTEL COLLEGE 59 MAIN STREET HOTEL COLLEGE COLLEGE 60 MAIN STREET HOTEL COLLEGE 61 MAIN STREET HOTEL COLLEGE 61 MATERVILLE, ME 04901-8846 COLBY COLLEGE 61 MATERVILLE, ME 04901	JAMAICA PLAIN, MA UZI3U			EMPLOYABILITY AND LIFE	893,000.
COASTAL MAINE BOTANICAL GARDENS INC. P.O. BOX 234 BOOTHBAY, ME 04537 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT 2,500,000 COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT COLBY COLLEGE 4130 MAYFLOWER HILL COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT COLBY COLLEGE 4130 MAYFLOWER HILL COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT COLBY COLLEGE 4130 MAYFLOWER HILL COLBY COLLEGE 4130 MAYFLOWER HILL DEVELOPMENT PROJECT COLBY COLLEGE 4130 MAYFLOWER HILL COLBY COLLEGE 4130 MAYFLOWER HIL	AND FAMILY SUPPORT 1 ALDEN AVE		PC	UNRESTRICTED	10,000
P.O. BOX 234 BOOTHBAY, ME 04537 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 PC ART CENTER AND HOTEL COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 PC MAIN STREET HOTEL DEVELOPMENT PROJECT 2,500,000 EAGLES NEST POUNDATION INCORPORATED 43 MANT RD PISGAH POREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION POR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	AUGUSTA, ME 04330-0000				10,000.
COLBY COLLEGE 4130 MAYPLOWER HILL WATERVILLE, ME 04901-8846 PC MAIN STREET HOTEL DEVELOPMENT PROJECT 2,500,000 EAGLES NEST FOUNDATION INCORPORATED 43 HARR RD PISGAH FOREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 EDUCARE STAMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	P.O. BOX 234		PC	2015-2035 MASTER PLAN	1 500 000
4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 PC WAIN STREET HOTEL DEVELOPMENT PROJECT 2,500,000 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 PC UNRESTRICTED FC WARRINGTON MAINE PC WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	BOOTHDAT, ME 04337				1,300,000.
COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 EAGLES NEST FOUNDATION INCORPORATED 43 HART RD PISGAH FOREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630			PC	ATHLETICS CENTER	
4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 EAGLES NEST FOUNDATION INCORPORATED 43 HART RD PISGAH FOREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	WATERVILLE, ME 04901-8846				12,500,000.
COLBY COLLEGE 4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 EAGLES NEST FOUNDATION INCORPORATED 43 HART RD PISGAH FOREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630			PC	ART CENTER AND HOTEL	
4130 MAYFLOWER HILL WATERVILLE, ME 04901-8846 EAGLES NEST FOUNDATION INCORPORATED 43 HART RD PISGAH FOREST, NC 28768 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	WATERVILLE, ME 04901-8846				2,000,000.
43 HART RD PISGAH FOREST, NC 28768 2,500 EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	4130 MAYFLOWER HILL		PC		2,500,000.
EDUCARE CENTRAL MAINE 97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	43 HART RD		PC	UNRESTRICTED	
97 WATER ST WATERVILLE, ME 04901-6339 FIRST AMENDMENT MUSEUM AT THE GANNETT HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	PISGAH FOREST, NC 28768				2,500.
HOUSE 184 STATE ST AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	97 WATER ST		PC		250,000.
AUGUSTA, ME 04330-6454 FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	HOUSE		PC	UNRESTRICTED	
FOUNDATION FOR A STRONG MAINE ECONOMY 125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 PC FOCUSMAINE SUPPORT 1,288,630					5,000.
125 COMMUNITY DRIVE, SUITE 101 AUGUSTA, ME 04330 1,288,630	·				,
	125 COMMUNITY DRIVE, SUITE 101		PC	FOCUSMAINE SUPPORT	
	AUGUSTA, ME 04330 Total from continuation sheets				1,288,630.

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y			_	
Recipient Name and address (home or husiness)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
FULL PLATES FULL POTENTIAL		PC	COVID-19 EMERGENCY	
15 COTTAGE RD			FUNDS	
SOUTH PORTLAND, ME 04106-3650				100,000.
GLOUCESTER MARITIME HERITAGE CENTER		PC	UNRESTRICTED	
23 HARBOR LOOP				
GLOUCESTER, MA 01930-5004		+		10,000.
GOOD SHEPHERD FOOD BANK		PC	UNRESTRICTED	
3121 HOTEL ROAD				
AUBURN, ME 04210-8398		-		10,000.
GOOD SHEPHERD FOOD BANK		₽C	FUNDING FOR COVID-19	
3121 HOTEL ROAD			RESPONSE	
AUBURN, ME 04210-8398				1,000,000.
HAWTHORNE COMMUNITY ASSOCIATION		PC	UNRESTRICTED	
PO BOX 185				
SOUTH CASCO, ME 04077-0185				5,000.
HUSSON UNIVERSITY		₽C	COLLEGE OF BUSINESS	
1 COLLEGE CIRCLE			SUPPORT	
BANGOR, ME 04401		-		2,000,000.
JOBS FOR MAINE'S GRADUATES INC.		PC	ENDOWMENT AND COLLEGE	
65 STONE STREET			& CAREER SUCCESS	
AUGUSTA, ME 04330			INITIATIVE	1,081,716.
KENNEBEC BEHAVIORAL HEALTH		PC	OPERATING	
67 EUSTIS PARKWAY				
WATERVILLE, ME 04901				2,000.
KENTS HILL SCHOOL		PC	COVID-19 RESPONSE	
PO BOX 257			SUPPORT	1 000 000
KENTS HILL, ME 04349-0257				1,000,000.
MAINE CHILDRENS HOME FOR LITTLE		PC	SUSTAINABILITY AND	
WANDERERS			STRATEGIC GROWTH	
93 SILVER ST			PROJECT	
WATERVILLE, ME 04901-5923				138,000.
Total from continuation sheets				

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Ye				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	0011112011011	
MAINE CHILDRENS HOME FOR LITTLE		PC	UNRESTRICTED	
WANDERERS			ONKESIKICIED	
93 SILVER ST				
WATERVILLE, ME 04901-5923				15,000.
MAINE COMMUNITY FOUNDATION, INC.		PC	MAINE WORKING	
50 MONUMENT SQUARE, 6TH FLOOR			COMMUNITIES CHALLENGE	
PORTLAND, ME 04101				100,000.
MAINE IRISH HERITAGE CENTER		PC	UNRESTRICTED	
PO BOX 7588				
PORTLAND, ME 04112				5,000.
MAINE MARITIME ACADEMY		₽C	ABS CENTER FOR	
ADVANCEMENT OFFICE, 1 PLEASANT STREET			ENGINEERING AND	
CASTINE, ME 04420-0001			APPLIED RESEARCH	750,000.
MAINE MEDICAL CENTER		PC	UNRESTRICTED	
22 BRAMHALL ST.				
PORTLAND, ME 04102-3134				28,000.
MAINEGENERAL HEALTH		so III	CHILDCARE FOR	
35 MEDICAL CENTER PARKWAY			MAINEGENERAL STAFF	
AUGUSTA, ME 04330-8160			DURING THE COVID-19	
			PANDEMIC	200,000.
MAINEHEALTH 110 FORE STREET		SO III	THE DEVELOPMENT OF AN INTEGRATED.	
PORTLAND, ME 04101			PATIENT-CENTERED	
			ONCOLOGY SERVICE LINE	
			FOR MAINE	2,000,000.
MID COAST HOSPITAL		PC	UNRESTRICTED	
329 MAINE ST				
BRUNSWICK, ME 04011-3310				20,000.
MY BROTHERS KEEPER INC		PC	UNRESTRICTED	
PO BOX 338				
NORTH EASTON, MA 02356-0338				2,000.
NORTHEASTERN UNIVERSITY		PC	THE HAROLD ALFOND	
360 HUNTINGTON AVE			SCHOLARS INITIATIVE	
BOSTON, MA 02115-5005				1,000,000.
Total from continuation sheets				

Part XV Supplementary Informa				
3 Grants and Contributions Paid During				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	Contribution.	
ROLLINS COLLEGE		₽C	THE INNOVATION	
1000 HOLT AVENUE			TRIANGLE	
WINTER PARK, FL 32789-4499				7,000,000.
altim toappula actions				
SAINT JOSEPH'S COLLEGE 278 WHITES BRIDGE ROAD		PC	CREATING THE CENTER FOR NURSING EXCELLENCE	
STANDISH, ME 04084			AT SAINT JOSEPH'S	
SIANDISH, ME 04004			COLLEGE	524,142.
		1		
GDEGEDIN GENERALIANG			THIR FOUND I CHIED	
SPECTRUM GENERATIONS PO BOX 2589		PC	UNRESTRICTED	
AUGUSTA, ME 04338-2589				1,200.
,				
ava.v avpm.a novembra.v.			and analy and a	
SUSAN L CURTIS FOUNDATION		PC	CAMP SUSAN CURTIS	
1321 WASHINGTON AVE. SUITE 104 PORTLAND, ME 04103			YOUTH DEVELOPMENT PROGRAM	14,700.
FORTHAND, ME 04103			PROGRAM	14,700.
THE CAHOON MUSEUM OF AMERICAN ART		₽C	UNRESTRICTED	
INC.				
4676 FALMOUTH RD				
COTUIT, MA 02635				25,000.
THE CENTER FOR GRIEVING CHILDREN		PC	UNRESTRICTED	
555 FOREST AVE				10.000
PORTLAND, ME 04101-1504 THE FOUNDATION FOR MAINE COMMUNITY	,	PC	AN INITIATIVE FOR	10,000.
COLLEGES			INNOVATION IN	
323 STATE ST			WORKFORCE TRAINING AND	
AUGUSTA, ME 04330-7149			DELIVERY AT MAINE'S	
·			COMMUNITY COLLEGES	1,200,000.
THE NATURE CONSERVANCY IN MAINE		PC	UNRESTRICTED	
14 MAINE STREET, SUITE 401				
BRUNSWICK, ME 04011				5,000.
THE PIONEER INSTITUTE		₽C	UNRESTRICTED	
185 DEVONSHIRE STREET, SUITE 1101				
BOSTON, MA 02110				12,500.
THOMAS COLLEGE		PC	HAROLD ALFOND	
180 W. RIVER RD.			INSTITUTE FOR BUSINESS	
WATERVILLE, ME 04901-5066			INNOVATION	781,166.
Total from continuation sheets				

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y	-			
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient		
UNITED WAY OF MID-MAINE, INC.		₽C	UNRESTRICTED	
105 KENNEDY MEMORIAL DRIVE				
WATERVILLE, ME 04901				12,500.
UNIVERSITY OF MAINE FOUNDATION		₽C	"THE ALFOND FUND" TO	
TWO ALUMNI PLACE			SUPPORT UMAINE	
ORONO, ME 04469-5792			ATHLETIC EXCELLENCE	500,000.
UNIVERSITY OF MAINE SYSTEM		PC	MAINE CENTER FOR	
15 ESTABROOKE DRIVE			GRADUATE PROFESSIONAL	
ORONO, ME 04469			STUDIES	1,000,000.
UNIVERSITY OF MASSACHUSETTS AMHERST 374 WHITMORE ADMINISTRATION BUILDING		PC	UNRESTRICTED	
AMHERST, MA 01003				1,000.
				1,000.
WATERVILLE CREATES!		PC	2019 -2023 OPERATING	
10 WATER ST, SUITE 106			SUPPORT	1 000 000
WATERVILLE, ME 04901				1,000,000.
WATERVILLE CREATES!		PC	UNRESTRICTED	
10 WATER ST, SUITE 106				15 000
WATERVILLE, ME 04901				15,000.
WATERVILLE DEVELOPMENT CORPORATION		PC	SUPPORT OF CGI INC.'S	
ONE COMMON STREET			BUSINESS EXPANSION TO	
WATERVILLE, ME 04901			WATERVILLE	200,000.
		+		
Total from continuation sheets	1	I		
Total nom continuation shots				

Part XV Supplementary Info					
3 Grants and Contributions Approve	ed for Futu	re Payment (Continuation)			
Recipient	>	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or busine	SS)	or substantial contributor	recipient	001111111111111111111111111111111111111	
FOUNDATION FOR A STRONG MAINE	ECONOMY		₽C	FOCUSMAINE SUPPORT	
125 COMMUNITY DRIVE, SUITE 101					
AUGUSTA, ME 04330					3,895,768.
NORTHEASTERN UNIVERSITY			₽C	THE HAROLD ALFOND	
360 HUNTINGTON AVE				SCHOLARS INITIATIVE	
BOSTON, MA 02115-5005					99,000,000.
					·
THE JACKSON LABORATORY			PC	MAINE CANCER GENOMICS	
600 MAIN ST. BAR HARBOR, ME 04609				INITIATIVE 2.0	11 024 000
BAR HARBOR, ME 04009					11,924,000.
THOMAS COLLEGE			PC	CATALYZING GROWTH IN	
180 W. RIVER RD.				CENTRAL MAINE AND	
WATERVILLE, ME 04901-5066				BEYOND	13,500,000.
UNIVERSITY OF MAINE FOUNDATION			PC	UNIVERSITY OF MAINE	
TWO ALUMNI PLACE				ATHLETICS MASTER	
ORONO, ME 04469-5792				FACILITIES PLAN	90,000,000.
UNIVERSITY OF MAINE SYSTEM			PC	REVITALIZING THE	
15 ESTABROOKE DRIVE				UNIVERSITY OF MAINE	
ORONO, ME 04469				SYSTEM: STUDENT	
				SUCCESS AND RETENTION; THE GRADUATE AND	150,000,000.
					200,000,000.
UNIVERSITY OF NEW ENGLAND			₽C	THE INSTITUTE FOR	
11 HILLS BEACH RD				INTERPROFESSIONAL	
BIDDEFORD, ME 04005				EDUCATION & PRACTICE	20 000 000
				AT UNE	30,000,000.
Total from continuation sheets					398,319,768.

HAROLD ALFOND FOUNDATION

Part XV Supplementary Information
3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution
NAME OF RECIPIENT - UNIVERSITY OF MAINE SYSTEM
REVITALIZING THE UNIVERSITY OF MAINE SYSTEM: STUDENT SUCCESS AND
RETENTION; THE GRADUATE AND PROFESSIONAL CENTER, AND THE MAINE COLLEGE
OF ENGINEERING, COMPUTING, AND INFORMATION SCIENCE

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.
 Go to www.irs.gov/Form990 for the latest information.

2020

Employer identification number

2020

OMB No. 1545-0047

HAROLD ALFOND FOUNDATION 22-3281672 Organization type (check one): Filers of: Section: 501(c)() (enter number) organization Form 990 or 990-EZ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization X 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. General Rule X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively

religious, charitable, etc., contributions totaling \$5,000 or more during the year ______ 🕨 \$ _

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

HAROLD ALFOND FOUNDATION

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	al space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 27,350,824.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 3,302,846.	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$\$	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 21,270,476.	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 271,447.	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$63,917.	Person Payroll Noncash (Complete Part II for noncash contributions.)

HAROLD ALFOND FOUNDATION

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	I space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	PETER G ALFOND ESTATE		Person Payroll
	DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 27,122,009.	Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
9	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 21,218,229.	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
10	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ <u>41,942,172</u> .	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
11	PETER G ALFOND ESTATE DAY PITNEY, 1201 GEORGE BUSH BLVD DELRAY BEACH, FL 33483	\$ 56,590,068.	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
12	PETER G ALFOND ESTATE		Person
	DAY PITNEY, 1201 GEORGE BUSH BLVD	\$ 74,038,872.	Payroll Noncash X (Complete Part II for
002450 11 0	DELRAY BEACH, FL 33483	Cabadula B /Farra	noncash contributions.)

HAROLD ALFOND FOUNDATION

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if a	dditio	onal space is needed.	
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
2	VARIOUS PUBLICLY TRADED SECURITIES			
		\$_	3,302,846.	10/01/20
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
3	AMERICAN INFRASTRUCTURE MLP PRIVATE EQUITY FUND			
		\$_	231,179.	10/01/20
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
4	THE ENTREPRENEURIAL VALUE FUND, LP			
		\$_	21,270,476.	10/01/20
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
5	TISHMAN SPEYER (IND) INDIA FEEDER LP			
		\$_	271,447.	12/31/20
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
6	TSCAI INTERNATIONAL R.E. VENTURE V, LP			
		\$_	63,917.	12/31/20
(a) No. from Part I	(b) Description of noncash property given		(c) FMV (or estimate) (See instructions.)	(d) Date received
7	201 FOLSOM INVESTOR FEEDER, L.P.			
		\$_	21,772.	12/31/20

HAROLD ALFOND FOUNDATION

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if	additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
0	DEXTER REAL ASSETS TE LLC		
8			
		\$ 27,122,009.	10/01/20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
9	DEXTER PRIVATE EQUITY TE LLC		
		\$ 21,218,229.	10/01/20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
10	DEXTER GLOBAL EQUITY TE LLC		
		\$ <u>41,942,172</u> .	09/01/20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
11	DEXTER U.S. EQUITY TE LLC		
		\$ 56,590,068.	09/01/20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
12	DEXTER INTERNATIONAL EQUITY TE LLC		
		\$ 74,038,872.	09/01/20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	

HAROLD ALFOND FOUNDATION

Part III	Exclusively religious, charitable, etc., contribut	ions to organizations descr	ibed in section 5	01(c)(7), (8), or (10) that total more than \$1,000 for the year
	from any one contributor. Complete columns (a) completing Part III, enter the total of exclusively religious,	through (e) and the following	g line entry. For o	organizations Server (Enterthic info acco.)
	Use duplicate copies of Part III if additional	space is needed.	1,000 or less lor a	te year. (Enter this into, once.)
(a) No.	coo dupilodic copies of fait in il additional	орасс ю посаса.		
(a) No. from	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held
Part I		() - 0		
			_	
		-		
-				
		(e) Transfe	er of gift	
	Transferee's name, address, a	nd ZIP + 4	Re	elationship of transferor to transferee
T	,			
(a) No. from				
from	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held
Part I				
			_	
-		(a) Tuamate		
		(e) Transfe	er or gift	
	Transferee's name, address, a	nd ZIP + 4	Re	elationship of transferor to transferee
Γ				
(a) No. from	(b) Dumana of sift	(a) Han af a		(al) Decembring of how wife in hold
Part I	(b) Purpose of gift	(c) Use of g	π	(d) Description of how gift is held
		•	_	
L				
		(e) Transfe	er of gift	
			_	
	Turnofourollo moner adduses a	- d 71D - 4	D.	alakia wahin af kuawafayay ka kuawafaya
-	Transferee's name, address, a	10 ZIP + 4	K	elationship of transferor to transferee
		_		_
(a) No				
(a) No. from Part I	(b) Purpose of gift	(c) Use of g	ift	(d) Description of how gift is held
Part I	(2): 3: pood of 9::1	(5, 555 5. 9)		(a) Decemplian er nen girere nera
		•	_	
L				
		(e) Transfe	er of gift	
	Transforce's name address of	nd 7ID + 4	D.	plationship of transforor to transforos
-	Transferee's name, address, a	14 41F T T	ne	elationship of transferor to transferee

Department of the Treasury Internal Revenue Service

Underpayment of Estimated Tax by Corporations

Attach to the corporation's tax return.

FORM 990-PF

OMB No. 1545-0123 2020

► Go to www.irs.gov/Form2220 for instructions and the latest information.

HAROLD ALFOND FOUNDATION

Employer identification number 22-3281672

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

Part I Required	Annual Payment								
4 Tabaldan (and in about the	\								432,266.
1 Total tax (see instruction	ns)							1	432,200.
2 a Personal holding compa	ıny tax (Schedule PH (Form 1120), lin	e 26)	included on line 1		2a				
	ded on line 1 under section 460(b)(2)								
	(g) for depreciation under the income				2b				
c Credit for federal tax pai	d on fuels (see instructions)				2c				
d Total. Add lines 2a throu	ugh 2c							2d	
3 Subtract line 2d from lin	e 1. If the result is less than \$500, do	not c	omplete or file this form.	The corpo	ration				
does not owe the penalty								3	432,266.
	the corporation's 2019 income tax ret								
or the tax year was for le	ess than 12 months, skip this line and	enter	the amount from line 3 o	on line 5				4	319,969.
	ent. Enter the smaller of line 3 or line			-				_	210 060
Part II Reasons	ne 3 for Filing - Check the boxes belo		+ annly					5	319,969.
	not owe a penalty. See instructions.	w ma	it apply. If any boxes are t	спескеа, п	ie corpo	ration	must lile Form 22	20	
	is using the adjusted seasonal installi	mont	mathad						
	is using the annualized income install								
	is a "large corporation" figuring its firs			n the nrior	vear'e ta	ıv			
	the Underpayment	ытоц	unca matamment basea o	ii tiic prioi	your 3 ic	۱۸.			
	<u></u>		(a)		(b)		(c)		(d)
9 Installment due dates. I 15th day of the 4th (For	Enter in columns (a) through (d) the m 990-PF filers: Use 5th month), as of the corporation's tax year.		(=)		(5)		(0)		(=)
6th, 9th, and 12th month	ns of the corporation's tax year.								
before July 15, 2020, s	ee instructions	9	07/15/20	07/	15/2	20	09/15/	20	12/15/20
	If the box on line 6 and/or line 7								
above is checked, enter	the amounts from Sch A, line 38. If								
the box on line 8 (but no	ot 6 or 7) is checked, see instructions								
for the amounts to enter	. If none of these boxes are checked,								
enter 25% (0.25) of line	5 above in each column	10	79,992.	13	6,14	11.	108,0	67.	108,066.
11 Estimated tax paid or cre	edited for each period. For								
column (a) only, enter th	ne amount from line 11 on line 15.								
See instructions		11	343,579.				50,0	00.	150,000.
Complete lines 12 thro	-								
before going to the nex				0.6	2 = 6		108.4	4.6	60 250
	m line 18 of the preceding column	12			3,58		127,4		69,379.
13 Add lines 11 and 12		13		26	3,58	37.	177,4	46.	219,379.
	6 and 17 of the preceding column	14	242 570	26	3,58	7	177,4	16	219,379.
	e 13. If zero or less, enter -0-	15	343,579.	40	3,30	7 •	1//,4	40.	419,379.
	is zero, subtract line 13 from line	امدا				0.		0.	
14. Otherwise, enter -0-	C in land them are arrival to line 10	16				٠.		٠٠	
	5 is less than or equal to line 10,								
column. Otherwise, go to	e 10. Then go to line 12 of the next	, ,							
. •	is less than line 15, subtract line 10	17				\dashv			
	line 12 of the next column	18	263,587.	12	7,44	ا ۽ ا	69,3	79.l	
	gure the penalty. Do not go to Part IV							<i>.</i> , , •	

For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2020)

Form 2220 (2020)

Part IV Figuring the Penalty

			(a)	(b)	(c)	L	(d)
19	Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19					
20	Number of days from due date of installment on line 9 to the date shown on line 19	20					
21	Number of days on line 20 after 4/15/2020 and before 7/1/2020	21					
22	Underpayment on line 17 x Number of days on line 21 x 5% (0.05)	22	\$	\$	\$		\$
23	Number of days on line 20 after 6/30/2020 and before 10/1/2020	23					
24	Underpayment on line 17 x Number of days on line 23 x 3% (0.03)	24	\$	\$	\$		\$
25	Number of days on line 20 after 9/30/2020 and before 1/1/2021	25					
26	Underpayment on line 17 x Number of days on line 25 x 3% (0.03)	26	\$	\$	\$		\$
27	Number of days on line 20 after 12/31/2020 and before 4/1/2021	27					
28	Underpayment on line 17 x Number of days on line 27 x 3% (0.03) \dots 365	28	\$	\$	\$	5	\$
29	Number of days on line 20 after 3/31/2021 and before 7/1/2021	29				-	
30	Underpayment on line 17 x Number of days on line 29 x *% 365	30	\$	\$	\$	5	\$
31	Number of days on line 20 after 6/30/2021 and before 10/1/2021	31					
32	Underpayment on line 17 x Number of days on line 31 x *% 365	32	\$	\$	\$	5	\$
33	Number of days on line 20 after 9/30/2021 and before 1/1/2022	33				-	
34	Underpayment on line 17 x Number of days on line 33 x *%	34	\$	\$	\$	3	\$
35	Number of days on line 20 after 12/31/2021 and before 3/16/2022	35				-	
36	Underpayment on line 17 x Number of days on line 35 x *%	36	\$	\$	\$	5	\$
37	Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$	1	\$
38	Penalty . Add columns (a) through (d) of line 37. Enter the to line for other income tax returns	tal h	ere and on Form 1120, lin	ne 34; or the comparable	38		0.

Form **2220** (2020)

^{*} Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

FORM 990-PF INTER	EST ON SAVING	GS AND T	rempor.	ARY C	ASH I	NVESTMENTS	SI	TATEMENT	1
SOURCE			(A) REVENUE NE' PER BOOKS			(B) INVESTMENT INCOME	(C) ADJUSTED NET INCOME		€
TAXABLE INTEREST II	NCOME	1,	,991,8	53.	1	,991,853.			
TOTAL TO PART I, L	INE 3	1,	,991,8	53.	1,991,853.				
FORM 990-PF	DIVIDENDS	AND IN	rerest	FROM	SECU	JRITIES	SI	TATEMENT	2
SOURCE	GROSS AMOUNT	CAPIT GAIN DIVIDE	1S	REV	A) ENUE BOOKS	(B) NET INVES MENT INCO		(C) ADJUSTI NET INCO	
TAXABLE DIVIDEND INCOME	8,105,547.		0.	8,10	5,547	7. 7,921,95	57.		
TO PART I, LINE 4	8,105,547.		0.	8,10	5,547	7,921,95	57.		
FORM 990-PF		OTHER	R INCO	ME			SI	TATEMENT	3
DESCRIPTION			RE	(A) VENUE BOOK		(B) NET INVEST- MENT INCOME		(C) ADJUSTEI NET INCOM	
ROYALTY INCOME FROM PASS-THRU K-100 ORDINARY INCOME FROM PASS-THRU K-100 OTHER INCOME FROM PASS-THRU K-100 OTHER INCOME FROM PASS-THRU K-100 TAX-EXEMPT INCOME FROM PASS-THRU K-100 OTHER INCOME FROM PASS-THRU K-				,562,	072. 963.		5. 3.		
TOTAL TO FORM 990-1	PF, PART I, 1	LINE 11	5	, ,795,		2,166,511			

FORM 990-PF	LEGAL	FEES	S	FATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	107,393.	81,119.		26,274.
TO FM 990-PF, PG 1, LN 16A =	107,393.	81,119.		26,274.
FORM 990-PF	ACCOUNTI	NG FEES	S	PATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	27,625.	11,050.		16,575.
TO FORM 990-PF, PG 1, LN 16B	27,625.	11,050.		16,575.
FORM 990-PF C	THER PROFES	SIONAL FEES	S	PATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DEXTER ENTERPRISES, INC. INVESTMENT CONSULTING FEES GRANT CONSULTING SERVICES INVESTMENT MANAGEMENT FEES	1,264,280. 291,288. 213,030. 9,424.	505,712. 291,288. 0. 9,424.		758,568. 0. 213,030. 0.
TO FORM 990-PF, PG 1, LN 16C	1,778,022.	806,424.		971,598.

FORM 990-PF	TAX	TAXES				
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES		
FOREIGN TAXES	382,674.	382,674.		0.		
NET INVESTMENT INCOME TAXES NET STATE UBIT TAXES	650,400. 78,515.	0. 0.		0 .		
TO FORM 990-PF, PG 1, LN 18	1,111,589.	382,674.		0 .		
FORM 990-PF	OTHER E	XPENSES	<u> </u>	STATEMENT 8		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES		
INVESTMENT INTEREST PASS THRU FROM K-1S	480,785.	337,818.		0 .		
NON DEDUCTIBLE EXPENSE PASS THRU FROM K-1S	122,483.	0.		0 .		
CHARITABLE DONATIONS PASS THRU FROM K-1S RENTAL LOSS FROM PASS-THRU	4,274.	0.		4,274		
K-1S ROYALTY DEDUCTIONS FROM	793,735.	793,735.		0 .		
PASS-THRU K-1S INSURANCE OTHER DEDUCTIONS PASS-THRU	101,635. 9,692.			0. 5,815.		
FROM K-1S	7,962,746.	2,140,501.		0 .		
TO FORM 990-PF, PG 1, LN 23	9,475,350.	3,377,566.		10,089		
FORM 990-PF OTHER DECREAS:	ES IN NET AS	SETS OR FUND I	BALANCES	STATEMENT S		
DESCRIPTION				AMOUNT		
COST TO FMV ADJUSTMENT FOR CO	ONTRIBUTIONS		_	22,826,920		
TOTAL TO FORM 990-PF, PART I	II, LINE 5		_	22,826,920		

FORM 990-PF	CORPORATE STOCK		STATEMENT 10
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
BERKSHIRE HATHAWAY CL B BERKSHIRE HATHAWAY CL A	THOMESTER 100 GH	19,818,051. 80,503,344.	51,030,413. 207,297,746.
MAINEGENERAL PROGRAM RELATED INV BRKA COLLATERAL ACCOUNT BRKA/B STOCK SET ASIDE FOR ALFON		13,237,128.	34,085,870.
PROGRAM (FAME) VARIOUS PUBLICLY TRADED SECURITE		2,546,121. 3,434,161.	6,556,304. 4,393,338.
TOTAL TO FORM 990-PF, PART II, I	LINE 10B	119,538,805.	303,363,671.
FORM 990-PF	CORPORATE BONDS		STATEMENT 11
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
DEXTER FIXED INCOME TE, LLC	26,973,973.	28,524,946.	
TOTAL TO FORM 990-PF, PART II, I	26,973,973.	28,524,946.	
FORM 990-PF (OTHER INVESTMENTS		STATEMENT 12
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BLACKSTONE REAL ESTATE PARTNERS	V COST		
AND VI, LP LEGACY VENTURE FUNDS TISHMAN SPEYER INVESTMENTS:	COST COST	1,135,337. 2,102,351.	139,737. 4,037,623.
INTERNATIONAL REAL ESTATE VENTUE TISHMAN SPEYER INVESTMENTS: INDI		724,346.	37,558.
REAL ESTATE VENTURE TISHMAN SPEYER INVESTMENTS: 201	COST	891,386.	183,995.
FOLSOM INVESTOR FEEDER, LP DEXTER GLOBAL EQUITY TE, LLC DEXTER HEDGE FUNDS TE, LLC DEXTER INTERNATIONAL EQUITY TE,		50,346. 85,978,361. 97,348,689. 133,613,015.	31,539. 110,830,835. 161,647,006. 142,197,212.
DEXTER US EQUITY TE, LLC DEXTER PRIVATE EQUITY TE, LLC DEXTER REAL ASSETS TE, LLC FIRST HORIZON NATIONAL CO.	COST COST COST COST	119,558,274. 104,803,716. 81,881,680. 184.	185,237,717. 172,619,751. 91,733,841. 255.
COASTAL MAINE BOTANICAL GARDENS RECEIVABLE		7,500,000.	7,500,000.

HAROLD ALFOND FOUNDATION			22-3281672			
AMERICAN INFRASTRUCTURE MLP ENTREPRENEURIAL VALUE FUND OCH ZIFF CAPITAL ADVISORS SPECIAL OPPORTUNITIES FUNDS	COST COST COST	583,249. 25,356,618. 0. 0.	220,519. 29,549,625. 5,741. 402,333.			
TOTAL TO FORM 990-PF, PART II, LI	661,527,552.	906,375,287.				
FORM 990-PF	OTHER ASSETS		STATEMENT 13			
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE			
SPORTS MEMORABILIA COLLECTION	5,000.	5,000.	5,000.			
TO FORM 990-PF, PART II, LINE 15	5,000.	5,000.	5,000.			
FORM 990-PF OT	HER LIABILITIES		STATEMENT 14			
DESCRIPTION		BOY AMOUNT	EOY AMOUNT			
ALFOND LEADERS PROGRAM SET ASIDE PAYABLE BY 12/31/2025		4,621,001.	4,585,774.			
TOTAL TO FORM 990-PF, PART II, LI	NE 22	4,621,001.	4,585,774.			
FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 15 PART VII-A, LINE 10						
NAME OF CONTRIBUTOR	ADDRESS					
PETER G ALFOND ESTATE	.201 GEORGE BUSH	I BLVD				

STATEMENT 16

AROLD ALFOND FOUNDATION 22-3

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS

TRUSTEES AND	FOUNDATION MANA		STATI	EWENT. TO
NAME AND ADDRESS	TITLE AND AVRG HRS/WK		EMPLOYEE BEN PLAN CONTRIB	
THEODORE B. ALFOND	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	2.00	0.	0.	0.
WILLIAM L. ALFOND	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	1.00	0.	0.	0.
GREGORY W. POWELL - SEE STATEMENT	TRUSTEE			
18 C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	27.00	0.	0.	0.
PETER H. LUNDER	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	1.00	0.	0.	0.
STEVEN P. AKIN	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	8.00	0.	0.	0.
THERESA M. STONE	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	7.00	0.	0.	0.
DAVID T. FLANAGAN	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	7.00	0.	0.	0.
BARRY MILLS	TRUSTEE			
C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	7.00	0.	0.	0.

HAROLD ALFOND FOUNDATION			22	2-3281672
KATHARINE ALFOND C/O DEXTER ENT., TWO MONUMENT	TRUSTEE			
SQUARE PORTLAND, ME 04101	7.00	0.	0.	0.
JUSTIN ALFOND C/O DEXTER ENT., TWO MONUMENT	TRUSTEE			
SQUARE PORTLAND, ME 04101	7.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII	0.	0.	0.

17

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT PART VII-B, LINE 5C

STATEMENT

GRANTEE'S NAME

WATERVILLE DEVELOPMENT CORPORATION

GRANTEE'S ADDRESS

ONE COMMON STREET WATERVILLE, ME 04901

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

1,000,000. 06/30/16

800,000.

PURPOSE OF GRANT

FOR WATERVILLE DEVELOPMENT CORPORATION TO SUPPORT BUSINESS EXPANSION AND JOB GROWTH IN WATERVILLE, SPECIFICALLY THROUGH CGI'S EXPANSION TO THE CITY.

DATES OF REPORTS BY GRANTEE

8/15/2017; 7/10/2018; 9/25/2019; 4/24/2020; 6/8/2021

ANY DIVERSION BY GRANTEE

TO THE BEST OF THE GRANTOR'S KNOWLEDGE, THERE WERE NO DIVERTED FUNDS.

RESULTS OF VERIFICATION

THE GRANTOR VERIFIED WATERVILLE DEVELOPMENT CORPORATION SUPPORTED THE EXPANSION OF CGI TO WATERVILLE BY PROVIDING GRANT FUNDS IN SUPPORT OF CGI'S EXPENDITURES REQUIRED TO DEVELOP NEW TECHNOLOGIES AND PRODUCTS IN THE FINANCIAL SERVICES AND OTHER INDUSTRIES. THE GRANTOR OBTAINED REPRESENTATIONS FROM THE GRANTEE IN THE GRANTEE'S ANNUAL EXPENDITURE RESPONSIBILITY REPORT THAT THE GRANTEE HAS EXPENDED \$800,000 OF GRANT FUNDS TO CGI AND THAT CGI, IN TURN, HAS EXPENDED \$668,812.93 OF GRANT FUNDS IN COMPLIANCE WITH THE TERMS OF THE GRANT AGREEMENT. THE GRANTOR HAS VALID REASONS TO BELIEVE THE \$131,187.07 OF FUNDS YET TO BE EXPENDED BY CGI WILL BE EXPENDED IN COMPLIANCE WITH THE TERMS OF THE GRANT AGREEMENT. THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE.

GRANTEE'S NAME

MAINE SPORTS COMMISSION

GRANTEE'S ADDRESS

44 LAKESIDE DRIVE FALMOUTH, ME 04105

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 5,000. 02/23/18

4,415.

PURPOSE OF GRANT

TO SUPPORT THE 2018/2019 SHE RULES SYMPOSIUM AND FUTURE EVENTS IN CELEBRATION OF NATIONAL GIRLS & WOMEN IN SPORTS DAY.

DATES OF REPORTS BY GRANTEE

AUGUST 16, 2017; OCTOBER 16, 2018; AUGUST 15, 2019

ANY DIVERSION BY GRANTEE

TO THE BEST OF THE GRANTOR'S KNOWLEDGE, THERE WERE NO DIVERTED FUNDS.

RESULTS OF VERIFICATION

THE GRANTOR VERIFIED MAINE SPORTS COMMISSION SUPPORTED THE 2018 AND 2019 SHERULES SYMPOSIUM BY PROVIDING GRANT FUNDS FOR PURCHASING SUPPLIES AND MARKETING MATERIAL FOR THE EVENT. THE GRANTOR OBTAINED REPRESENTATIONS FROM THE GRANTEE IN THE GRANTEE'S ANNUAL EXPENDITURE RESPONSIBILITY REPORT THAT THE GRANTEE HAS EXPENDED \$4,415.29 OF GRANT FUNDS IN COMPLIANCE WITH THE TERMS OF THE GRANT AGREEMENT THROUGH AUGUST 2019. ON NOVEMBER 1, 2021, THE GRANTOR VERIFIED THAT NO ADDITIONAL FUNDS HAVE YET BEEN EXPENDED. THE GRANTOR HAS VALID REASONS TO BELIEVE THE \$584.71 OF FUNDS YET TO BE EXPENDED BY MAINE SPORTS COMMISSION WILL BE EXPENDED IN COMPLIANCE WITH THE TERMS OF THE GRANT AGREEMENT. THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE.

GENERAL EXPLANATION

STATEMENT 18

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VIII - FOUNDATION TRUSTEE POWELL ADDITIONAL INFORMATION

EXPLANATION:

GREGORY POWELL IS AN EMPLOYEE OF DEXTER ENTERPRISES, INC. DEXTER ENTERPRISES, INC. IS COMPENSATED UNDER A MANAGEMENT CONTRACT WITH THE FOUNDATION. SEE STATEMENT 6 FOR DEXTER ENTERPRISES, INC. INFORMATION.

GENERAL EXPLANATION

STATEMENT 19

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PART I, LINE 6A, COLUMN A - DISTRIBUTION OF PROPERTY FOR CHARITY

EXPLANATION:

UNDER REGULATION 53.4940-1, A DISTRIBUTION OF PROPERTY FOR CHARITABLE PURPOSES UNDER SECTION 170(C)(1) OR (2)(B) MADE BY A FOUNDATION TO A CHARITABLE ORGANIZATION IS NOT TREATED AS A TAXABLE SALE OR DISPOSITION. THE CAPITAL GAIN RECORDED ON THE BOOKS IS NOT TAXABLE; THEREFORE, THE PROPERTY DISTRIBUTION IS EXCLUDED FROM NET INVESTMENT INCOME, LINE 7, COLUMN (B).