

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2008

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation HAROLD ALFOND FOUNDATION		A Employer identification number 22-3281672
	C/O DEXTER ENTERPRISES, INC.		B Telephone number (207) 828-7999
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
	TWO MONUMENT SQUARE		
City or town, state, and ZIP code PORTLAND, ME 04101		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 106,632,875.		(Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	4,641,887.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	287,890.	272,057.		STATEMENT 1
	4 Dividends and interest from securities	679,158.	679,158.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-2,026,604.			
	b Gross sales price for all assets on line 6a	15,586,877.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	26,941.	26,941.		STATEMENT 3	
12 Total. Add lines 1 through 11	3,609,272.	978,156.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	13,614.	0.		13,614.
	b Accounting fees STMT 5	26,000.	10,400.		15,600.
	c Other professional fees STMT 6	604,525.	482,904.		121,621.
	17 Interest				
	18 Taxes STMT 7	103,924.	11,838.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	16,517.	0.		16,517.
	22 Printing and publications				
	23 Other expenses STMT 8	270,673.	250,407.		19,499.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,035,253.	755,549.		186,851.
	25 Contributions, gifts, grants paid	11,100,907.			11,100,907.
26 Total expenses and disbursements. Add lines 24 and 25	12,136,160.	755,549.		11,287,758.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-8,526,888.				
b Net investment income (if negative, enter -0-)		222,607.			
c Adjusted net income (if negative, enter -0-)			N/A		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			7,408,976.	3,950,919.	3,950,919.
	2 Savings and temporary cash investments					
	3 Accounts receivable					
	Less: allowance for doubtful accounts					
	4 Pledges receivable					
	Less: allowance for doubtful accounts					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable					
	Less: allowance for doubtful accounts					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations	STMT 10		4,668,038.	493,553.	156,215.
	b Investments - corporate stock	STMT 11		118,937,049.	118,410,149.	82,253,599.
	c Investments - corporate bonds	STMT 12		53,281.	53,281.	49,977.
Liabilities	11 Investments - land, buildings, and equipment: basis					
	Less: accumulated depreciation					
	12 Investments - mortgage loans					
	13 Investments - other	STMT 13		24,566,485.	24,206,528.	20,217,165.
	14 Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
	15 Other assets (describe)	STATEMENT 14)		5,000.	5,000.	5,000.
	16 Total assets (to be completed by all filers)			155,638,829.	147,119,430.	106,632,875.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe)	OTHER LIABILITIES)		0.	7,489.	
	23 Total liabilities (add lines 17 through 22)			0.	7,489.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			155,638,829.	147,111,941.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			0.	0.	
30 Total net assets or fund balances			155,638,829.	147,111,941.		
31 Total liabilities and net assets/fund balances			155,638,829.	147,119,430.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	155,638,829.
2 Enter amount from Part I, line 27a	2	-8,526,888.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	147,111,941.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	147,111,941.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 15,586,877.		17,508,827.	-2,026,604.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-2,026,604.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-2,026,604.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	5,077,042.	72,784,172.	.069755
2006	2,676,944.	49,804,611.	.053749
2005	3,382,940.	27,530,396.	.122880
2004	1,180,276.	25,498,519.	.046288
2003	2,027,526.	22,440,334.	.090352

2 Total of line 1, column (d)	2	.383024
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.076605
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	132,974,046.
5 Multiply line 4 by line 3	5	10,186,477.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,226.
7 Add lines 5 and 6	7	10,188,703.
8 Enter qualifying distributions from Part XII, line 4	8	11,287,758.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
 See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b _____		1	2,226.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) _____			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2 _____		3	2,226.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- _____		5	2,226.
6 Credits/Payments:			
a 2008 estimated tax payments and 2007 overpayment credited to 2008 _____	6a	33,984.	
b Exempt foreign organizations - tax withheld at source _____	6b		
c Tax paid with application for extension of time to file (Form 8868) _____	6c		
d Backup withholding erroneously withheld _____	6d		
7 Total credits and payments. Add lines 6a through 6d _____	7	33,984.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached _____	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed _____	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid _____	10	31,758.	
11 Enter the amount of line 10 to be: Credited to 2009 estimated tax <input checked="" type="checkbox"/> 31,758. Refunded <input checked="" type="checkbox"/> _____	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? _____		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? _____ If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year? _____		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? _____ If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes _____	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? _____	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year? _____ N/A	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? _____ If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? _____	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? _____ If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation _____	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? If "Yes," complete Part XIV _____	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. STMT 15	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ **N/A**

14 The books are in care of ▶ **DEXTER ENTERPRISES, INC.** Telephone no. ▶ **207-828-7999**
 Located at ▶ **TWO MONUMENT SQUARE, PORTLAND, ME** ZIP+4 ▶ **04101**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ▶ **15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 17** ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If you answered "Yes" to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DEXTER ENTERPRISES, INC. TWO MONUMENT SQUARE, PORTLAND, ME 04101	INVESTMENT & GRANT ADMINISTRATION	134,745.
CAMBRIDGE ASSOCIATES LLC PO BOX 10317, UNIONDALE, NY 11555	CONSULTING	84,750.
LEGACY VENTURE IV LLC 180 LYTTON AVENUE, PALO ALTO, CA 94301	INVEST PORTFOLIO DEDUCT PER K1	61,916.
DARWIN VENTURE CAPITAL II LP ONE EMBARCADERO CENTER, SUITE 2240, SAN FRANCISCO	INVEST PORTFOLIO DEDUCT PER K1	83,852.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	134,083,063.
b	Average of monthly cash balances	1b	915,968.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	134,999,031.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	134,999,031.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,024,985.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	132,974,046.
6	Minimum investment return. Enter 5% of line 5	6	6,648,702.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,648,702.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	2,226.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,226.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,646,476.
4	Recoveries of amounts treated as qualifying distributions	4	2,500.
5	Add lines 3 and 4	5	6,648,976.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,648,976.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,287,758.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,287,758.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,226.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,285,532.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				6,648,976.
2 Undistributed Income, if any, as of the end of 2007:				
a Enter amount for 2007 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2008:				
a From 2003	159,122.			
b From 2004				
c From 2005	2,482,736.			
d From 2006	252,028.			
e From 2007	1,593,018.			
f Total of lines 3a through e	4,486,904.			
4 Qualifying distributions for 2008 from Part XII, line 4: ▶ \$	11,287,758.			
a Applied to 2007, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2008 distributable amount				6,648,976.
e Remaining amount distributed out of corpus	4,638,782.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	9,125,686.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	159,122.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	8,966,564.			
10 Analysis of line 9:				
a Excess from 2004				
b Excess from 2005	2,482,736.			
c Excess from 2006	252,028.			
d Excess from 2007	1,593,018.			
e Excess from 2008	4,638,782.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 18

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE STATEMENT 19				
Total			3a	11,100,907.
b <i>Approved for future payment</i>				
Total SEE STATEMENT 20			3b	6154166.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			



[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee		Date	Title	
	Preparer's signature 		Date 08/12/2009	Check if self-employed <input type="checkbox"/>	Preparer's identifying number
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code ALBIN, RANDALL & BENNETT, CPA'S PO BOX 445, 130 MIDDLE STREET PORTLAND, ME 04112-0445				EIN 
					Phone no. 207-772-1981

Form **990-PF** (2008)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, and 990-PF.

OMB No. 1545-0047

2008

Name of the organization

HAROLD ALFOND FOUNDATION
C/O DEXTER ENTERPRISES, INC.

Employer identification number

22-3281672

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.) ▶ \$

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990. These instructions will be issued separately.

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization

HAROLD ALFOND FOUNDATION
C/O DEXTER ENTERPRISES, INC.

Employer identification number

22-3281672**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF DOROTHY ALFOND 1201 GEORGE BUSH BOULEVARD DELRAY BEACH, FL 33483	\$ 417,240.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	ESTATE OF HAROLD ALFOND 1201 GEORGE BUSH BOULEVARD DELRAY BEACH, FL 33483	\$ 4,224,647.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES				
b HANSBERGER GROWTH FUND CAPITAL GAIN DISTRIBUTION		P		
c 9.348 SH BERNSTEIN VALUE FUND		P		
d SSGA GOVT CREDIT PASS THRU CAPITAL GAINS		P		
e SSGA GOVT CREDIT PASS THRU CAPITAL LOSS		P		
f SSGA REAL ASSET CTF PASS THRU CAPITAL LOSS		P		
g SSGA REAL ASSET CTF PASS THRU CAPITAL LOSS		P		
h 123,389 UNITS SSGA REAL ASSETS CTF		P		
i LEGACY VENTURE IV PASS THRU CAPITAL GAINS		P		
j BLACKSTONE V AND VI PASS THRU CAPITAL GAINS		P		
k BLACKSTONE V AND VI PASS THRU CAPITAL GAINS		P		
l DARWIN PASS THRU CAPITAL GAIN		P		
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,450,923.		16,387,170.	-1,936,247.
b			29,902.
c 184.		205.	-21.
d			207,125.
e			-18,382.
f			-8,932.
g			-372,959.
h 1,135,770.		1,121,452.	14,318.
i			712.
j			3.
k			57,224.
l			653.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1,936,247.
b			29,902.
c			-21.
d			207,125.
e			-18,382.
f			-8,932.
g			-372,959.
h			14,318.
i			712.
j			3.
k			57,224.
l			653.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-2,026,604.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
TAX-EXEMPT INTEREST	15,833.
TAXABLE INTEREST INCOME	272,057.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	287,890.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TAXABLE DIVIDEND INCOME	679,158.	0.	679,158.
TOTAL TO FM 990-PF, PART I, LN 4	679,158.	0.	679,158.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	107.	107.	
BLACKSTONE OTHER INCOME	7,566.	7,566.	
BLACKSTONE ROYALTY INCOME	7,766.	7,766.	
SSGA GOVERNMENT/CREDIT BOND INDEX			
SL CTF OTHER INCOME	11,502.	11,502.	
TOTAL TO FORM 990-PF, PART I, LINE 11	26,941.	26,941.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	13,614.	0.		13,614.
TO FM 990-PF, PG 1, LN 16A	13,614.	0.		13,614.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	26,000.	10,400.		15,600.
TO FORM 990-PF, PG 1, LN 16B	26,000.	10,400.		15,600.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DEXTER ENTERPRISES	134,746.	53,900.		80,846.
INVESTMENT ADVISORY FEES	344,254.	344,254.		0.
INVESTMENT CONSULTING FEES	84,750.	84,750.		0.
CHARITABLE CONSULTING FEES	40,000.	0.		40,000.
OTHER	775.	0.		775.
TO FORM 990-PF, PG 1, LN 16C	604,525.	482,904.		121,621.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	11,838.	11,838.		0.
NET INVESTMENT INCOME TAXES	40,000.	0.		0.
NET FEDERAL UBTI TAX	32,000.	0.		0.
NET STATE UBTI TAX	20,086.	0.		0.
TO FORM 990-PF, PG 1, LN 18	103,924.	11,838.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT INTEREST PASS THRU FROM K-1S	13,868.	13,868.		0.	
NON DEDUCTIBLE INVESTMENT EXPENSES	767.	0.		0.	
INVESTMENT EXPENSES	1,533.	641.		892.	
CONSULTANT REIMBURSEMENTS	12,500.	0.		12,500.	
CHARITABLE DONATIONS FROM BLACKSTONE REAL ESTATE K-1	3.	0.		3.	
CHARITABLE DONATIONS FROM LEGACY VENTURE IV K1	2,316.	0.		2,316.	
CHARITABLE DONATIONS FROM DARWIN K-1	191.	0.		191.	
CHARITABLE DONATIONS FROM LEGACY VENTURE V K1	1,257.	0.		1,257.	
BLACKSTONE V & VI ORDINARY LOSS	32,281.	32,281.		0.	
BLACKSTONE V & VI REAL ESTATE LOSS	51,157.	51,157.		0.	
SSGA REAL ASSET CTF OTHER LOSSES	150,900.	150,900.		0.	
INSURANCE	3,900.	1,560.		2,340.	
TO FORM 990-PF, PG 1, LN 23	270,673.	250,407.		19,499.	

FOOTNOTES

STATEMENT 9

FORM 990-PF, PART XV, STATEMENT A

NONE OF THE RECIPIENTS ARE INDIVIDUALS, THEREFORE DISCLOSURE OF RELATIONSHIPS TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR IS NOT APPLICABLE.

FORM 990-PF, PART VIII

GREGORY POWELL IS AN EMPLOYEE OF DEXTER ENTERPRISES, INC. DEXTER ENTERPRISES, INC. IS COMPENSATED UNDER A MANAGEMENT CONTRACT WITH THE FOUNDATION. SEE STATEMENT 6 FOR DEXTER ENTERPRISES, INC. INFORMATION.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 10
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
3,360 SH PASSIVE GOVERNMENT CREDIT BOND INDEX FUND	X		359,598.	9,602.
59.37 SH FEDERAL NATL MTG ASSN GTD PASS		X	60.	60.
3959.69 SH GOVT NATL MTG ASSN GTD PASS TH		X	4,020.	4,203.
50000 SH QUEBEC PROV CDA MEDIUM TERM NT		X	62,906.	68,037.
50000 SH UNITED STATES TREAS BDS DTD 2/		X	66,969.	74,313.
TOTAL U.S. GOVERNMENT OBLIGATIONS			359,598.	9,602.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			133,955.	146,613.
TOTAL TO FORM 990-PF, PART II, LINE 10A			493,553.	156,215.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
233,587 SH BERNSTEIN INTERNATIONAL VALUE FUND	5,137,360.	2,417,628.
260,907 SH HANSBERGER INTERNATIONAL GROWTH FUND	5,286,924.	2,601,245.
28 SH BERKSHIRE HATHAWAY CL B	122,308.	89,992.
654 SH BERKSHIRE HATHAWAY CL A	85,705,495.	63,176,400.
590 SH ACCENTURE LTD	21,476.	19,346.
350 SH TYCO INTERNATIONAL LTD	14,007.	7,560.
170 SH TYCO INTERNATIONAL LTD	7,545.	3,672.
1560 SH AT & T INC	62,275.	44,460.
410 SH ABBOTT LABORATORIES	22,484.	21,882.
540 SH ADOBE SYSTEMS INC	19,742.	11,497.
410 SH AETNA INC	16,576.	11,685.
230 SH AETNA INC	10,198.	6,555.
240 SH ALLIANCE DATA SYS CORP	14,234.	11,167.
150 SH ALLIANCE DATA SYS CORP	9,113.	6,980.
310 SH AMGEN INC	18,616.	17,903.
450 SH APACHE CORP	44,386.	33,539.
240 SH APPLE INC	42,425.	20,484.
50 SH APPLE INC	8,039.	4,268.
2450.98 SH BNY MELLON EMERGING MARKETS FU	50,000.	14,436.
2013.456 SH BNY MELLON EMERGING MARKETS FU	11,618.	11,859.
6915.629 SH BNY MELLON INTERNATIONAL FUND	100,000.	57,261.
542.322 SH BNY MELLON INTERNATIONAL FUND	4,599.	4,490.

1625 SH BANK OF AMERICA CORPORATION	71,484.	22,880.
540 SH BANK OF AMERICA CORPORATION	13,813.	7,603.
1100 SH CVS CAREMARK CORPORATION	46,360.	31,614.
540 SH CVS CAREMARK CORPORATION	22,758.	15,520.
600 SH CHUBB CORP	31,785.	30,600.
250 SH CHUBB CORP	13,130.	12,750.
1380 SH CISCO SYSTEMS INC	41,090.	22,494.
980 SH CISCO SYSTEMS INC	20,972.	15,974.
460 SH CISCO SYSTEMS INC	10,143.	7,498.
650 SH COACH INC	13,410.	13,501.
970 SH COCA COLA ENTERPRISES INC	24,565.	11,669.
350 SH COLGATE-PALMOLIVE COMPANY	26,607.	23,989.
1040 SH COMPANHIA VALE DO RIO DOCE	36,826.	12,594.
220 SH CONOCOPHILLIPS	17,364.	11,396.
680 SH CONOCOPHILLIPS	53,669.	35,224.
220 SH DANAHER CORP	18,228.	12,454.
460 SH DARDEN RESTAURANTS INC	16,845.	12,963.
410 SH ENSCO INTERNATIONAL INC	21,763.	11,640.
390 SH EMERSON ELECTRIC CO	21,429.	14,278.
680 SH EXELON CORP	53,931.	37,815.
1070 SH EXXON MOBIL CORP	91,357.	85,418.
200 SH FEDEX CORP	13,074.	12,830.
70 SH FEDEX CORP	4,032.	4,491.
1100 SH FIFTH THIRD BANCORP	14,267.	9,086.
1290 SH FIRST HORIZON NATIONAL CORP	13,803.	13,633.
1490 SH GAP INC	29,872.	19,951.
2482 SH GENERAL ELECTRIC COMPANY	94,986.	40,208.
60 SH GOLDMAN SACHS GROUP INC	13,564.	5,063.
666 SH HALLIBURTON CO	24,545.	12,108.
410 SH HESS CORPORATION	27,689.	21,992.
650 SH HOME DEPOT INC	12,220.	14,963.
670 SH HONEYWELL INTL INC	37,935.	21,996.
350 SH HOSPIRA INC	14,306.	9,387.
230 SH HOSPIRA INC	9,401.	6,169.
650 SH INTEL CORP	16,010.	9,529.
440 SH INTERNATIONAL BUSINESS MACHINE	45,074.	37,030.
400 SH JP MORGAN CHASE & CO	17,318.	12,612.
310 SH JP MORGAN CHASE & CO	13,421.	9,774.
300 SH JOHNSON & JOHNSON	20,154.	17,949.
210 SH JOHNSON & JOHNSON	13,272.	12,564.
610 SH JUNIPER NETWORKS INC	11,456.	10,681.
727 SH KBR INC	26,448.	11,050.
460 SH KBR INC	6,343.	6,992.
1540 SH KEYCORP NEW	17,263.	13,121.
230 SH LABORATORY CORP AMER HLDGS	17,653.	14,814.
1170 SH ESTEE LAUDER COMPANIES CLASS A	58,652.	36,223.
420 SH MCAFEE INC	15,238.	14,519.
590 SH MC DONALD'S CORPORATION	33,559.	36,692.
530 SH MEDTRONIC INC	24,452.	16,653.
1041 SH MICROSOFT CORPORATION	35,378.	20,237.
240 SH MICROSOFT CORPORATION	8,156.	4,666.
280 SH MOLSON COORS BREWING CO	12,995.	13,698.
140 SH MOSAIC CO	19,389.	4,844.
1760 SH NEWS CORP INC	38,166.	16,861.

460 SH NEWS CORP INC	9,975.	4,407.
200 SH NEWS CORP INC	4,337.	1,916.
460 SH NEWS CORP INC	9,975.	4,407.
740 SH NOKIA CORP	20,831.	11,544.
713 SH NORTEL NETWORKS CORPORATION	0.	185.
713 SH NORTEL NETWORKS CORPORATION	204.	185.
410 SH NOVARTIS AG	22,275.	20,402.
290 SH NOVARTIS AG	15,669.	14,430.
590 SH OMNICOM GROUP INC	27,400.	15,883.
1590 SH ORACLE CORP	32,794.	28,191.
80 SH PNC FINANCIAL SERVICES GROUP	4,949.	3,920.
370 SH PARKER HANNIFIN CORP	14,164.	15,740.
430 SH PEPSICO INC	31,723.	23,551.
250 SH PEPSICO INC	18,444.	13,693.
2164 SH PFIZER INC	50,378.	38,324.
800 SH PHILIP MORRIS INTERNATIONAL IN	35,792.	34,808.
410 SH PRAXAIR INC	33,688.	24,338.
550 SH THE PROCTER & GAMBLE COMPANY	39,985.	34,001.
360 SH QUALCOMM INC	14,738.	12,899.
530 SH QUALCOMM INC	18,645.	18,990.
310 SH RAYTHEON CO	15,860.	15,822.
520 SH ROSS STORES INC	16,734.	15,460.
560 SH ST JUDE MEDICAL INC	21,885.	18,458.
1740 SH SCHERING PLOUGH CORP	51,913.	29,632.
770 SH SCHWAB CHARLES CORP NEW	11,458.	12,451.
770 SH SEMPRA ENERGY	45,746.	32,825.
560 SH TEXTRON INC	36,271.	7,767.
710 SH THERMO FISHER SCIENTIFIC INC.	40,857.	24,190.
1870 SH TIME WARNER INC	16,886.	18,812.
400 SH US BANCORP	12,980.	10,004.
310 SH VERTEX PHARMACEUTICALS INC	7,477.	9,418.
520 SH WAL MART STORES INC	23,977.	29,151.
460 SH WAL MART STORES INC	20,889.	25,788.
1300 SH WELLS FARGO & COMPANY	40,736.	38,324.
878 SH XTO ENERGY INC	44,262.	30,967.
191938.581 SH BNY MELLON INCOME STOCK FUND	2,015,320.	921,305.
95969.29 SH BNY MELLON INCOME STOCK FUND	1,007,678.	460,653.
70018.646 SH BNY MELLON INCOME STOCK FUND	586,756.	336,090.
35724.836 SH BNY MELLON INCOME STOCK FUND	169,693.	171,479.
2019 SH THE BOEING COMPANY	97,920.	86,151.
2032 SH CANADIAN NAT RES LTD	96,839.	81,239.
5391 SH FOREST LABS INC	128,398.	137,309.
6722 SH LEUCADIA NATL CORP	150,174.	133,096.
1885 SH NORTHROP GRUMMAN CORP	100,359.	84,900.
22997 SH PFIZER INC	460,899.	407,277.
5828 SH SPIRIT AEROSYSTEMS HOLDING INC	74,642.	59,271.
6165 SH UNITED RENTALS INC	67,193.	56,225.
4957 SH UNITEDHEALTH GROUP INC	96,040.	131,856.
12845 SH WELLCARE HEALTH PLANS INC	564,170.	165,187.
3490 SH WELLPOINT INC	137,009.	147,034.
4587 SH WINTHROP REALTY TRUST	82,007.	49,723.
450 SH SYNTHES INC	55,658.	56,129.
1920 SH DEUTSCHE-CW17 BHARAT HEAVY E	109,517.	109,517.
1550 SH GROUPE DANONE	137,657.	93,035.

330 SH GROUPE DANONE	25,081.	19,807.
8600 SH CAPITA GROUP PLC	117,615.	91,251.
3120 SH RECKITT BENCKISER GROUP PLC	177,828.	115,643.
4700 SH DEUTSCHE BANK AG LONDON CERT	111,014.	68,986.
17340 SH TESCO ORD 5P	171,784.	89,750.
115 SH SYNGENTA	31,122.	21,548.
120 SH SYNGENTA	19,070.	22,485.
110 SH SYNGENTA	18,709.	20,612.
1340 SH ERSTE BANK DER OST	95,783.	30,333.
1400 SH HENNES & MAURITZ AB SEK0.25 'B	53,252.	55,234.
27 SH LINDT & SPRUENGLI AG	53,149.	49,473.
5000 SH HSBC HOLDINGS PLC	87,512.	47,547.
1600 SH HSBC HOLDINGS PLC	26,404.	15,215.
1600 SH HSBC HOLDINGS PLC	20,502.	15,215.
32000 SH CAFE DE CORAL HOLDINGS LIMITED	54,108.	64,824.
6000 SH CAFE DE CORAL HOLDINGS LIMITED	11,758.	12,155.
1300 SH COCHLEAR LIMITED	83,843.	50,212.
500 SH COCHLEAR LIMITED	25,458.	19,312.
600 SH COCHLEAR LIMITED	25,268.	23,175.
2700 SH DAISEKI CO LTD	56,593.	50,539.
600 SH DAISEKI CO LTD	13,288.	11,231.
36000 SH LI & FUNG LTD	135,547.	61,686.
110 SH JUPITER TELECOMMUNICATIONS COL	74,081.	114,172.
98000 SH PEACE MARK HOLDINGS LIMITED	162,456.	18,969.
96000 SH PEACE MARK HOLDINGS LIMITED	47,947.	18,582.
1400 SH TERUMO CORPORATION	77,556.	64,737.
500 SH TERUMO CORPORATION	24,889.	23,120.
1210 SH YAMADA DENKI CO	134,317.	82,921.
1130 SH NOVO-NORDISK A/S	80,394.	58,120.
760 SH NOVO-NORDISK A/S	49,679.	39,089.
420 SH STRAUMANN HOLDING AG	119,702.	72,847.
315 SH DIVIDEND TAX RECLAIM SWISS 200	312.	295.
36.43 SH DIVIDEND TAX RECLAIM EURO 2007	50.	51.
28.35 SH DIVIDEND TAX RECLAIM EURO 2007	42.	40.
100.5 SH DIVIDEND TAX RECLAIM EURO 2008	156.	140.
170.5 SH DIVIDEND TAX RECLAIM EURO 2008	264.	238.
161.92 SH DIVIDEND TAX RECLAIM EURO 2008	254.	226.
89.98 SH DIVIDEND TAX RECLAIM EURO 2008	140.	126.
59.85 SH DIVIDEND TAX RECLAIM EURO 2008	93.	84.
1620 SH DIVIDEND TAX RECLAIM SWEDEN 20	272.	206.
100 SH AFFILIATED MANAGERS GROUP INC	3,788.	4,192.
200 SH AFFILIATED MANAGERS GROUP INC	7,569.	8,384.
150 SH AFFILIATED MANAGERS GROUP INC	5,590.	6,288.
100 SH AFFILIATED MANAGERS GROUP INC	3,491.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	3,495.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	4,179.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	4,183.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	3,538.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	3,540.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	3,525.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	3,529.	4,192.
200 SH AFFILIATED MANAGERS GROUP INC	5,971.	8,384.
100 SH AFFILIATED MANAGERS GROUP INC	2,989.	4,192.
100 SH AFFILIATED MANAGERS GROUP INC	2,965.	4,192.

900 SH ALBANY INTL CORP NEW CL A	32,499.	11,556.
200 SH ALBANY INTL CORP NEW CL A	7,152.	2,568.
100 SH ALBANY INTL CORP NEW CL A	3,576.	1,284.
50 SH ALBANY INTL CORP NEW CL A	1,802.	642.
100 SH ALBANY INTL CORP NEW CL A	3,607.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,564.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,564.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,607.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,385.	1,284.
50 SH ALBANY INTL CORP NEW CL A	1,695.	642.
100 SH ALBANY INTL CORP NEW CL A	3,521.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,496.	1,284.
100 SH ALBANY INTL CORP NEW CL A	3,492.	1,284.
200 SH ALBANY INTL CORP NEW CL A	6,465.	2,568.
300 SH ALBANY INTL CORP NEW CL A	8,824.	3,852.
100 SH ALBANY INTL CORP NEW CL A	1,301.	1,284.
230 SH ALBANY INTL CORP NEW CL A	2,988.	2,953.
1750 SH ALLETE INC	72,861.	56,473.
50 SH ALLETE INC	1,888.	1,614.
710 SH AMERICAN MED SYS HLDGS INC	9,965.	6,383.
200 SH AMERICAN MED SYS HLDGS INC	2,777.	1,798.
100 SH AMERICAN MED SYS HLDGS INC	1,391.	899.
100 SH AMERICAN MED SYS HLDGS INC	1,392.	899.
400 SH AMERICAN MED SYS HLDGS INC	5,547.	3,596.
300 SH AMERICAN MED SYS HLDGS INC	4,184.	2,697.
200 SH AMERICAN MED SYS HLDGS INC	2,785.	1,798.
100 SH AMERICAN MED SYS HLDGS INC	1,395.	899.
100 SH AMERICAN MED SYS HLDGS INC	1,396.	899.
100 SH AMERICAN MED SYS HLDGS INC	1,391.	899.
200 SH AMERICAN MED SYS HLDGS INC	2,763.	1,798.
100 SH AMERICAN MED SYS HLDGS INC	1,381.	899.
200 SH AMERICAN MED SYS HLDGS INC	2,753.	1,798.
200 SH AMERICAN MED SYS HLDGS INC	2,740.	1,798.
100 SH AMERICAN MED SYS HLDGS INC	1,370.	899.
300 SH AMERICAN MED SYS HLDGS INC	4,209.	2,697.
500 SH ANALOGIC CORP	27,308.	13,640.
100 SH ANALOGIC CORP	5,397.	2,728.
100 SH ANALOGIC CORP	5,405.	2,728.
125 SH ANALOGIC CORP	6,610.	3,410.
100 SH ANALOGIC CORP	5,198.	2,728.
100 SH ANALOGIC CORP	5,899.	2,728.
150 SH ANALOGIC CORP	8,557.	4,092.
100 SH ANALOGIC CORP	5,436.	2,728.
100 SH ANALOGIC CORP	4,571.	2,728.
200 SH ANALOGIC CORP	9,142.	5,456.
100 SH ANALOGIC CORP	4,535.	2,728.
500 SH BALLY TECHNOLOGIES INC	14,071.	12,015.
100 SH BALLY TECHNOLOGIES INC	2,799.	2,403.
70 SH BALLY TECHNOLOGIES INC	1,961.	1,682.
100 SH BALLY TECHNOLOGIES INC	2,802.	2,403.
100 SH BALLY TECHNOLOGIES INC	2,814.	2,403.
100 SH BALLY TECHNOLOGIES INC	2,725.	2,403.
175 SH BALLY TECHNOLOGIES INC	4,808.	4,205.
125 SH BALLY TECHNOLOGIES INC	3,406.	3,004.

200 SH BALLY TECHNOLOGIES INC	4,807.	4,806.
100 SH BALLY TECHNOLOGIES INC	2,403.	2,403.
400 SH BALLY TECHNOLOGIES INC	6,133.	9,612.
100 SH BALLY TECHNOLOGIES INC	1,537.	2,403.
1500 SH BOSTON PRIVATE FINL HLDGS INC	14,502.	10,260.
450 SH BOSTON PRIVATE FINL HLDGS INC	4,369.	3,078.
400 SH BOSTON PRIVATE FINL HLDGS INC	3,600.	2,736.
1000 SH BOSTON PRIVATE FINL HLDGS INC	8,960.	6,840.
100 SH BOSTON PRIVATE FINL HLDGS INC	731.	684.
400 SH BOSTON PRIVATE FINL HLDGS INC	2,908.	2,736.
100 SH BOSTON PRIVATE FINL HLDGS INC	770.	684.
100 SH BOSTON PRIVATE FINL HLDGS INC	801.	684.
200 SH BOSTON PRIVATE FINL HLDGS INC	1,605.	1,368.
100 SH BOSTON PRIVATE FINL HLDGS INC	804.	684.
200 SH BOSTON PRIVATE FINL HLDGS INC	1,605.	1,368.
95 SH BOSTON PRIVATE FINL HLDGS INC	763.	650.
100 SH BOSTON PRIVATE FINL HLDGS INC	804.	684.
200 SH BOSTON PRIVATE FINL HLDGS INC	1,323.	1,368.
300 SH BOSTON PRIVATE FINL HLDGS INC	2,010.	2,052.
100 SH BOSTON PRIVATE FINL HLDGS INC	663.	684.
100 SH BOSTON PRIVATE FINL HLDGS INC	674.	684.
700 SH CARRIZO OIL & GAS INC	13,740.	11,270.
200 SH CARRIZO OIL & GAS INC	3,926.	3,220.
100 SH CARRIZO OIL & GAS INC	1,854.	1,610.
200 SH CARRIZO OIL & GAS INC	3,736.	3,220.
100 SH CARRIZO OIL & GAS INC	1,795.	1,610.
100 SH CARRIZO OIL & GAS INC	1,855.	1,610.
100 SH CARRIZO OIL & GAS INC	1,855.	1,610.
200 SH CARRIZO OIL & GAS INC	3,590.	3,220.
100 SH CARRIZO OIL & GAS INC	1,705.	1,610.
300 SH CARRIZO OIL & GAS INC	5,268.	4,830.
100 SH CARRIZO OIL & GAS INC	1,760.	1,610.
200 SH CARRIZO OIL & GAS INC	3,221.	3,220.
1200 SH CENTEX CORP	19,042.	12,768.
400 SH CENTEX CORP	6,363.	4,256.
600 SH CENTEX CORP	9,327.	6,384.
550 SH CENTEX CORP	8,853.	5,852.
200 SH CENTEX CORP	3,109.	2,128.
200 SH CENTEX CORP	3,219.	2,128.
100 SH CENTEX CORP	1,273.	1,064.
500 SH CENTEX CORP	7,087.	5,320.
100 SH CENTEX CORP	1,273.	1,064.
200 SH CENTEX CORP	2,835.	2,128.
100 SH CENTEX CORP	1,283.	1,064.
100 SH CHECKPOINT SYS INC	2,152.	984.
200 SH CHECKPOINT SYS INC	4,303.	1,968.
100 SH CHECKPOINT SYS INC	2,155.	984.
150 SH CHECKPOINT SYS INC	3,251.	1,476.
100 SH CHECKPOINT SYS INC	2,160.	984.
100 SH CHECKPOINT SYS INC	2,168.	984.
100 SH CHECKPOINT SYS INC	2,164.	984.
150 SH CHECKPOINT SYS INC	3,239.	1,476.
300 SH CHECKPOINT SYS INC	6,479.	2,952.
100 SH CHECKPOINT SYS INC	2,152.	984.

300 SH CHECKPOINT SYS INC	6,444.	2,952.
200 SH CHECKPOINT SYS INC	4,202.	1,968.
100 SH CHECKPOINT SYS INC	2,101.	984.
100 SH CHECKPOINT SYS INC	2,100.	984.
300 SH CHECKPOINT SYS INC	6,289.	2,952.
30 SH CHECKPOINT SYS INC	640.	295.
200 SH CHECKPOINT SYS INC	4,154.	1,968.
100 SH CHECKPOINT SYS INC	2,077.	984.
300 SH CHECKPOINT SYS INC	5,996.	2,952.
100 SH CHECKPOINT SYS INC	2,003.	984.
300 SH CHECKPOINT SYS INC	5,817.	2,952.
100 SH CHECKPOINT SYS INC	1,940.	984.
100 SH CHECKPOINT SYS INC	1,836.	984.
100 SH CHECKPOINT SYS INC	1,895.	984.
100 SH CHECKPOINT SYS INC	1,896.	984.
100 SH CHECKPOINT SYS INC	1,874.	984.
330 SH CHECKPOINT SYS INC	3,588.	3,247.
100 SH CHECKPOINT SYS INC	1,091.	984.
100 SH CHECKPOINT SYS INC	952.	984.
475 SH CON-WAY INC	20,798.	12,635.
100 SH CON-WAY INC	4,378.	2,660.
210 SH CON-WAY INC	9,003.	5,586.
100 SH CON-WAY INC	4,287.	2,660.
300 SH CON-WAY INC	11,099.	7,980.
100 SH CON-WAY INC	3,700.	2,660.
200 SH CON-WAY INC	5,008.	5,320.
100 SH CON-WAY INC	2,380.	2,660.
1050 SH EAST WEST BANCORP INC	15,346.	16,769.
300 SH EAST WEST BANCORP INC	4,397.	4,791.
400 SH EAST WEST BANCORP INC	5,541.	6,388.
200 SH EAST WEST BANCORP INC	2,771.	3,194.
100 SH EAST WEST BANCORP INC	1,396.	1,597.
400 SH ENERGYSOLUTIONS	6,171.	2,260.
300 SH ENERGYSOLUTIONS	4,644.	1,695.
400 SH ENERGYSOLUTIONS	6,171.	2,260.
300 SH ENERGYSOLUTIONS	4,616.	1,695.
200 SH ENERGYSOLUTIONS	3,085.	1,130.
100 SH ENERGYSOLUTIONS	1,552.	565.
200 SH ENERGYSOLUTIONS	2,970.	1,130.
200 SH ENERGYSOLUTIONS	3,009.	1,130.
100 SH ENERGYSOLUTIONS	1,467.	565.
100 SH ENERGYSOLUTIONS	1,505.	565.
100 SH ENERGYSOLUTIONS	1,489.	565.
500 SH ENERGYSOLUTIONS	7,351.	2,825.
200 SH ENERGYSOLUTIONS	2,948.	1,130.
200 SH ENERGYSOLUTIONS	2,821.	1,130.
200 SH ENERGYSOLUTIONS	2,921.	1,130.
100 SH ENERGYSOLUTIONS	1,464.	565.
200 SH ENERGYSOLUTIONS	3,110.	1,130.
130 SH ENERGYSOLUTIONS	2,042.	735.
100 SH ENERGYSOLUTIONS	1,555.	565.
400 SH ENERGYSOLUTIONS	5,471.	2,260.
200 SH ENERGYSOLUTIONS	2,744.	1,130.
300 SH ENERGYSOLUTIONS	3,638.	1,695.

400	SH	ENERGYSOLUTIONS	4,986.	2,260.
100	SH	ENERGYSOLUTIONS	1,213.	565.
100	SH	ENERGYSOLUTIONS	1,250.	565.
100	SH	ENERGYSOLUTIONS	504.	565.
600	SH	ENERGYSOLUTIONS	3,017.	3,390.
200	SH	FBR CAPITAL MARKETS CORP	1,686.	972.
500	SH	FBR CAPITAL MARKETS CORP	4,195.	2,430.
300	SH	FBR CAPITAL MARKETS CORP	2,481.	1,458.
300	SH	FBR CAPITAL MARKETS CORP	2,489.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	828.	486.
100	SH	FBR CAPITAL MARKETS CORP	833.	486.
500	SH	FBR CAPITAL MARKETS CORP	4,125.	2,430.
200	SH	FBR CAPITAL MARKETS CORP	1,658.	972.
200	SH	FBR CAPITAL MARKETS CORP	1,597.	972.
100	SH	FBR CAPITAL MARKETS CORP	800.	486.
100	SH	FBR CAPITAL MARKETS CORP	800.	486.
400	SH	FBR CAPITAL MARKETS CORP	3,185.	1,944.
100	SH	FBR CAPITAL MARKETS CORP	804.	486.
100	SH	FBR CAPITAL MARKETS CORP	725.	486.
200	SH	FBR CAPITAL MARKETS CORP	1,448.	972.
100	SH	FBR CAPITAL MARKETS CORP	719.	486.
200	SH	FBR CAPITAL MARKETS CORP	1,446.	972.
100	SH	FBR CAPITAL MARKETS CORP	719.	486.
100	SH	FBR CAPITAL MARKETS CORP	723.	486.
100	SH	FBR CAPITAL MARKETS CORP	722.	486.
300	SH	FBR CAPITAL MARKETS CORP	2,029.	1,458.
200	SH	FBR CAPITAL MARKETS CORP	1,353.	972.
400	SH	FBR CAPITAL MARKETS CORP	2,711.	1,944.
300	SH	FBR CAPITAL MARKETS CORP	2,039.	1,458.
300	SH	FBR CAPITAL MARKETS CORP	2,027.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	685.	486.
300	SH	FBR CAPITAL MARKETS CORP	1,893.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	632.	486.
100	SH	FBR CAPITAL MARKETS CORP	626.	486.
300	SH	FBR CAPITAL MARKETS CORP	2,091.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	698.	486.
100	SH	FBR CAPITAL MARKETS CORP	703.	486.
200	SH	FBR CAPITAL MARKETS CORP	1,397.	972.
300	SH	FBR CAPITAL MARKETS CORP	2,029.	1,458.
200	SH	FBR CAPITAL MARKETS CORP	1,396.	972.
100	SH	FBR CAPITAL MARKETS CORP	694.	486.
400	SH	FBR CAPITAL MARKETS CORP	2,776.	1,944.
200	SH	FBR CAPITAL MARKETS CORP	1,379.	972.
100	SH	FBR CAPITAL MARKETS CORP	694.	486.
100	SH	FBR CAPITAL MARKETS CORP	654.	486.
300	SH	FBR CAPITAL MARKETS CORP	1,973.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	658.	486.
100	SH	FBR CAPITAL MARKETS CORP	661.	486.
100	SH	FBR CAPITAL MARKETS CORP	656.	486.
200	SH	FBR CAPITAL MARKETS CORP	1,304.	972.
100	SH	FBR CAPITAL MARKETS CORP	649.	486.
300	SH	FBR CAPITAL MARKETS CORP	1,917.	1,458.
100	SH	FBR CAPITAL MARKETS CORP	640.	486.
200	SH	FBR CAPITAL MARKETS CORP	1,286.	972.

100 SH FBR CAPITAL MARKETS CORP	645.	486.
100 SH FBR CAPITAL MARKETS CORP	639.	486.
200 SH FBR CAPITAL MARKETS CORP	1,262.	972.
200 SH FBR CAPITAL MARKETS CORP	1,270.	972.
150 SH FBR CAPITAL MARKETS CORP	964.	729.
100 SH FBR CAPITAL MARKETS CORP	642.	486.
300 SH FBR CAPITAL MARKETS CORP	1,921.	1,458.
100 SH FBR CAPITAL MARKETS CORP	562.	486.
1200 SH FBR CAPITAL MARKETS CORP	6,731.	5,832.
200 SH FBR CAPITAL MARKETS CORP	1,058.	972.
300 SH FBR CAPITAL MARKETS CORP	1,544.	1,458.
200 SH FBR CAPITAL MARKETS CORP	1,028.	972.
100 SH FBR CAPITAL MARKETS CORP	513.	486.
600 SH FBR CAPITAL MARKETS CORP	3,063.	2,916.
100 SH FBR CAPITAL MARKETS CORP	509.	486.
200 SH FBR CAPITAL MARKETS CORP	1,001.	972.
500 SH FBR CAPITAL MARKETS CORP	2,474.	2,430.
100 SH FBR CAPITAL MARKETS CORP	501.	486.
100 SH FBR CAPITAL MARKETS CORP	496.	486.
100 SH FBR CAPITAL MARKETS CORP	494.	486.
200 SH FBR CAPITAL MARKETS CORP	973.	972.
100 SH FBR CAPITAL MARKETS CORP	473.	486.
200 SH FBR CAPITAL MARKETS CORP	938.	972.
200 SH FBR CAPITAL MARKETS CORP	952.	972.
100 SH FBR CAPITAL MARKETS CORP	451.	486.
100 SH FBR CAPITAL MARKETS CORP	452.	486.
100 SH FBR CAPITAL MARKETS CORP	454.	486.
200 SH FBR CAPITAL MARKETS CORP	799.	972.
100 SH FBR CAPITAL MARKETS CORP	403.	486.
35 SH FIRST BANCORP P R	317.	390.
100 SH FIRST BANCORP P R	905.	1,114.
200 SH FIRST BANCORP P R	1,808.	2,228.
300 SH FIRST BANCORP P R	2,450.	3,342.
600 SH FIRST BANCORP P R	3,924.	6,684.
100 SH FIRST BANCORP P R	649.	1,114.
300 SH FIRST BANCORP P R	1,951.	3,342.
1300 SH FIRST HORIZON NATIONAL CORP	14,682.	13,739.
500 SH FIRST HORIZON NATIONAL CORP	5,647.	5,284.
300 SH FIRST HORIZON NATIONAL CORP	3,402.	3,170.
100 SH FIRST HORIZON NATIONAL CORP	1,134.	1,057.
100 SH FIRST HORIZON NATIONAL CORP	1,109.	1,057.
400 SH FIRST HORIZON NATIONAL CORP	4,420.	4,227.
470 SH FIRST HORIZON NATIONAL CORP	4,860.	4,967.
100 SH FIRST HORIZON NATIONAL CORP	1,038.	1,057.
700 SH FIRST HORIZON NATIONAL CORP	6,573.	7,398.
395 SH GILDANE ACTIVEWEAR INC	5,767.	4,645.
700 SH GILDANE ACTIVEWEAR INC	10,322.	8,232.
300 SH GILDANE ACTIVEWEAR INC	4,091.	3,528.
300 SH GILDANE ACTIVEWEAR INC	4,156.	3,528.
100 SH GILDANE ACTIVEWEAR INC	1,405.	1,176.
200 SH GILDANE ACTIVEWEAR INC	1,860.	2,352.
1000 SH GILDANE ACTIVEWEAR INC	8,316.	11,760.
600 SH GILDANE ACTIVEWEAR INC	5,306.	7,056.
400 SH GILDANE ACTIVEWEAR INC	3,459.	4,704.

400 SH GILDANE ACTIVEWEAR INC	3,788.	4,704.
780 SH GRANITE CONSTRUCTION INC	26,692.	34,265.
100 SH GRANITE CONSTRUCTION INC	3,296.	4,393.
40 SH GRANITE CONSTRUCTION INC	1,319.	1,757.
100 SH GRANITE CONSTRUCTION INC	3,363.	4,393.
15 SH GRANITE CONSTRUCTION INC	502.	659.
400 SH HEXCEL CORP NEW	7,813.	2,956.
840 SH HEXCEL CORP NEW	16,710.	6,208.
200 SH HEXCEL CORP NEW	3,954.	1,478.
400 SH HEXCEL CORP NEW	7,925.	2,956.
500 SH HEXCEL CORP NEW	9,509.	3,695.
300 SH HEXCEL CORP NEW	5,715.	2,217.
290 SH HEXCEL CORP NEW	5,452.	2,143.
220 SH HEXCEL CORP NEW	4,411.	1,626.
100 SH HEXCEL CORP NEW	1,901.	739.
200 SH HEXCEL CORP NEW	3,441.	1,478.
100 SH HEXCEL CORP NEW	1,721.	739.
200 SH HEXCEL CORP NEW	3,299.	1,478.
100 SH HEXCEL CORP NEW	1,650.	739.
200 SH HEXCEL CORP NEW	1,754.	1,478.
100 SH HEXCEL CORP NEW	878.	739.
200 SH HEXCEL CORP NEW	1,656.	1,478.
200 SH HEXCEL CORP NEW	1,651.	1,478.
100 SH HEXCEL CORP NEW	830.	739.
100 SH HEXCEL CORP NEW	831.	739.
300 SH HEXCEL CORP NEW	2,211.	2,217.
100 SH HEXCEL CORP NEW	742.	739.
100 SH HEXCEL CORP NEW	729.	739.
100 SH HEXCEL CORP NEW	740.	739.
100 SH HEXCEL CORP NEW	733.	739.
300 SH INSITUFORM TECHNOLS CL A	3,717.	5,907.
600 SH INSITUFORM TECHNOLS CL A	7,568.	11,814.
100 SH INSITUFORM TECHNOLS CL A	1,240.	1,969.
180 SH INSITUFORM TECHNOLS CL A	2,272.	3,544.
100 SH INSITUFORM TECHNOLS CL A	1,239.	1,969.
800 SH INSITUFORM TECHNOLS CL A	10,092.	15,752.
100 SH INSITUFORM TECHNOLS CL A	1,252.	1,969.
300 SH INSITUFORM TECHNOLS CL A	3,753.	5,907.
50 SH INSITUFORM TECHNOLS CL A	624.	985.
300 SH INSITUFORM TECHNOLS CL A	3,556.	5,907.
100 SH INSITUFORM TECHNOLS CL A	1,185.	1,969.
100 SH KELLY SERVICES INC CLASS A	1,773.	1,301.
400 SH KELLY SERVICES INC CLASS A	7,078.	5,204.
100 SH KELLY SERVICES INC CLASS A	1,813.	1,301.
100 SH KELLY SERVICES INC CLASS A	1,813.	1,301.
100 SH KELLY SERVICES INC CLASS A	1,801.	1,301.
100 SH KELLY SERVICES INC CLASS A	1,797.	1,301.
100 SH KELLY SERVICES INC CLASS A	1,793.	1,301.
200 SH KELLY SERVICES INC CLASS A	3,975.	2,602.
100 SH KELLY SERVICES INC CLASS A	1,901.	1,301.
200 SH KELLY SERVICES INC CLASS A	3,795.	2,602.
300 SH KELLY SERVICES INC CLASS A	5,705.	3,903.
100 SH KELLY SERVICES INC CLASS A	1,906.	1,301.
300 SH KELLY SERVICES INC CLASS A	6,240.	3,903.

100 SH KELLY SERVICES INC CLASS A	2,078.	1,301.
100 SH KELLY SERVICES INC CLASS A	2,078.	1,301.
50 SH KELLY SERVICES INC CLASS A	1,042.	651.
100 SH KELLY SERVICES INC CLASS A	2,026.	1,301.
100 SH KELLY SERVICES INC CLASS A	2,018.	1,301.
800 SH KELLY SERVICES INC CLASS A	13,795.	10,408.
200 SH MFA MORTGAGE INVESTMENTS INC	1,304.	1,178.
150 SH MFA MORTGAGE INVESTMENTS INC	1,059.	884.
600 SH MFA MORTGAGE INVESTMENTS INC	4,222.	3,534.
200 SH MFA MORTGAGE INVESTMENTS INC	1,409.	1,178.
300 SH MFA MORTGAGE INVESTMENTS INC	1,836.	1,767.
900 SH MFA MORTGAGE INVESTMENTS INC	5,507.	5,301.
1300 SH MFA MORTGAGE INVESTMENTS INC	7,953.	7,657.
500 SH MFA MORTGAGE INVESTMENTS INC	3,069.	2,945.
100 SH MFA MORTGAGE INVESTMENTS INC	530.	589.
400 SH MFA MORTGAGE INVESTMENTS INC	2,104.	2,356.
1900 SH MFA MORTGAGE INVESTMENTS INC	10,463.	11,191.
1300 SH MFA MORTGAGE INVESTMENTS INC	7,111.	7,657.
400 SH MFA MORTGAGE INVESTMENTS INC	2,176.	2,356.
200 SH MFA MORTGAGE INVESTMENTS INC	1,090.	1,178.
400 SH MFA MORTGAGE INVESTMENTS INC	2,192.	2,356.
800 SH MFA MORTGAGE INVESTMENTS INC	4,229.	4,712.
300 SH MFA MORTGAGE INVESTMENTS INC	1,589.	1,767.
400 SH MARTHA STEWART LIVING OMNIMEDI	2,995.	1,040.
400 SH MARTHA STEWART LIVING OMNIMEDI	2,980.	1,040.
100 SH MARTHA STEWART LIVING OMNIMEDI	746.	260.
100 SH MARTHA STEWART LIVING OMNIMEDI	751.	260.
1000 SH MARTHA STEWART LIVING OMNIMEDI	7,549.	2,600.
300 SH MARTHA STEWART LIVING OMNIMEDI	2,246.	780.
1200 SH MARTHA STEWART LIVING OMNIMEDI	9,048.	3,120.
200 SH MARTHA STEWART LIVING OMNIMEDI	1,625.	520.
200 SH MARTHA STEWART LIVING OMNIMEDI	1,602.	520.
800 SH MARTHA STEWART LIVING OMNIMEDI	6,643.	2,080.
300 SH MARTHA STEWART LIVING OMNIMEDI	2,470.	780.
1000 SH MARTHA STEWART LIVING OMNIMEDI	8,059.	2,600.
500 SH MARTHA STEWART LIVING OMNIMEDI	4,012.	1,300.
100 SH MARTHA STEWART LIVING OMNIMEDI	807.	260.
800 SH MARTHA STEWART LIVING OMNIMEDI	6,210.	2,080.
400 SH MARTHA STEWART LIVING OMNIMEDI	3,174.	1,040.
250 SH MARTHA STEWART LIVING OMNIMEDI	2,151.	650.
100 SH MARTHA STEWART LIVING OMNIMEDI	853.	260.
100 SH MARTHA STEWART LIVING OMNIMEDI	855.	260.
400 SH MARTHA STEWART LIVING OMNIMEDI	3,195.	1,040.
800 SH MARTHA STEWART LIVING OMNIMEDI	6,108.	2,080.
200 SH MARTHA STEWART LIVING OMNIMEDI	1,506.	520.
100 SH MARTHA STEWART LIVING OMNIMEDI	752.	260.
4530 SH MASTEC INC	43,601.	52,457.
100 SH MASTEC INC	830.	1,158.
300 SH MASTEC INC	2,574.	3,474.
100 SH MASTEC INC	859.	1,158.
100 SH MASTEC INC	860.	1,158.
100 SH MASTEC INC	843.	1,158.
300 SH MASTEC INC	2,427.	3,474.
100 SH MASTEC INC	771.	1,158.

100 SH MASTEC INC	766.	1,158.
100 SH MASTEC INC	769.	1,158.
100 SH MASTEC INC	683.	1,158.
100 SH MASTEC INC	780.	1,158.
100 SH MASTEC INC	774.	1,158.
300 SH MASTEC INC	2,337.	3,474.
200 SH MASTEC INC	1,261.	2,316.
200 SH MASTEC INC	1,263.	2,316.
100 SH MASTEC INC	634.	1,158.
3075 SH MICROSEMI CORP	71,786.	38,868.
1000 SH MONSTER WORLDWIDE INC	13,990.	12,090.
300 SH MONSTER WORLDWIDE INC	4,209.	3,627.
300 SH MONSTER WORLDWIDE INC	4,004.	3,627.
100 SH MONSTER WORLDWIDE INC	1,335.	1,209.
100 SH MONSTER WORLDWIDE INC	1,330.	1,209.
300 SH MONSTER WORLDWIDE INC	3,607.	3,627.
100 SH MONSTER WORLDWIDE INC	1,202.	1,209.
500 SH MONSTER WORLDWIDE INC	6,045.	6,045.
200 SH MONSTER WORLDWIDE INC	2,418.	2,418.
440 SH MONSTER WORLDWIDE INC	4,695.	5,320.
100 SH MONSTER WORLDWIDE INC	1,067.	1,209.
200 SH MONSTER WORLDWIDE INC	2,169.	2,418.
3025 SH ORBITAL SCIENCES CORP	73,084.	59,078.
100 SH ORBITAL SCIENCES CORP	2,330.	1,953.
100 SH ORBITAL SCIENCES CORP	2,330.	1,953.
100 SH ORBITAL SCIENCES CORP	2,330.	1,953.
1900 SH PRESTIGE BRANDS HOLDINGS INC	17,418.	20,045.
200 SH PRESTIGE BRANDS HOLDINGS INC	1,900.	2,110.
200 SH PRESTIGE BRANDS HOLDINGS INC	1,967.	2,110.
150 SH PRESTIGE BRANDS HOLDINGS INC	1,547.	1,583.
2100 SH PRESTIGE BRANDS HOLDINGS INC	20,621.	22,155.
1100 SH PRESTIGE BRANDS HOLDINGS INC	10,969.	11,605.
200 SH PRESTIGE BRANDS HOLDINGS INC	1,865.	2,110.
300 SH PRESTIGE BRANDS HOLDINGS INC	2,845.	3,165.
100 SH PRESTIGE BRANDS HOLDINGS INC	950.	1,055.
200 SH PRESTIGE BRANDS HOLDINGS INC	1,898.	2,110.
100 SH PRESTIGE BRANDS HOLDINGS INC	946.	1,055.
100 SH PRESTIGE BRANDS HOLDINGS INC	902.	1,055.
100 SH PRESTIGE BRANDS HOLDINGS INC	904.	1,055.
100 SH PRESTIGE BRANDS HOLDINGS INC	904.	1,055.
100 SH PRESTIGE BRANDS HOLDINGS INC	901.	1,055.
100 SH PRESTIGE BRANDS HOLDINGS INC	914.	1,055.
1460 SH SXC HEALTH SOLUTIONS CORP	18,199.	27,258.
100 SH SXC HEALTH SOLUTIONS CORP	1,245.	1,867.
300 SH SXC HEALTH SOLUTIONS CORP	3,731.	5,601.
100 SH SXC HEALTH SOLUTIONS CORP	1,244.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,161.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,225.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,225.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,225.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,234.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,237.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,231.	1,867.
100 SH SXC HEALTH SOLUTIONS CORP	1,231.	1,867.

100 SH ST MARY LAND & EXPLORATION CO	2,352.	2,031.
500 SH ST MARY LAND & EXPLORATION CO	12,098.	10,155.
200 SH ST MARY LAND & EXPLORATION CO	4,847.	4,062.
300 SH ST MARY LAND & EXPLORATION CO	7,332.	6,093.
100 SH ST MARY LAND & EXPLORATION CO	2,448.	2,031.
200 SH ST MARY LAND & EXPLORATION CO	4,353.	4,062.
100 SH ST MARY LAND & EXPLORATION CO	2,180.	2,031.
130 SH ST MARY LAND & EXPLORATION CO	2,761.	2,640.
300 SH ST MARY LAND & EXPLORATION CO	5,972.	6,093.
100 SH ST MARY LAND & EXPLORATION CO	1,992.	2,031.
200 SH SILICON LABORATORIES INC	5,170.	4,956.
100 SH SILICON LABORATORIES INC	2,585.	2,478.
150 SH SILICON LABORATORIES INC	3,901.	3,717.
200 SH SILICON LABORATORIES INC	5,232.	4,956.
100 SH SILICON LABORATORIES INC	2,616.	2,478.
100 SH SILICON LABORATORIES INC	2,393.	2,478.
100 SH SILICON LABORATORIES INC	2,397.	2,478.
230 SH SILICON LABORATORIES INC	5,305.	5,699.
100 SH SILICON LABORATORIES INC	2,188.	2,478.
100 SH SILICON LABORATORIES INC	2,188.	2,478.
100 SH SILICON LABORATORIES INC	2,224.	2,478.
100 SH SILICON LABORATORIES INC	2,219.	2,478.
165 SH SILICON LABORATORIES INC	3,439.	4,089.
100 SH SILICON LABORATORIES INC	2,012.	2,478.
100 SH SILICON LABORATORIES INC	2,016.	2,478.
300 SH SILICON LABORATORIES INC	5,402.	7,434.
1335 SH SWIFT ENERGY CO	58,106.	22,441.
150 SH SWIFT ENERGY CO	6,942.	2,522.
160 SH SWIFT ENERGY CO	5,950.	2,690.
300 SH SWIFT ENERGY CO	5,242.	5,043.
355 SH SWIFT ENERGY CO	5,769.	5,968.
600 SH TERADYNE INC	5,414.	2,532.
600 SH TERADYNE INC	5,694.	2,532.
1700 SH TERADYNE INC	15,615.	7,174.
1000 SH TERADYNE INC	9,495.	4,220.
800 SH TERADYNE INC	7,232.	3,376.
150 SH TERADYNE INC	1,431.	633.
1400 SH TERADYNE INC	13,313.	5,908.
200 SH TERADYNE INC	1,885.	844.
95 SH TERADYNE INC	892.	401.
100 SH TERADYNE INC	917.	422.
300 SH TERADYNE INC	2,743.	1,266.
100 SH TERADYNE INC	918.	422.
100 SH TERADYNE INC	920.	422.
350 SH TERADYNE INC	3,197.	1,477.
100 SH TERADYNE INC	916.	422.
400 SH TERADYNE INC	3,416.	1,688.
100 SH TERADYNE INC	855.	422.
500 SH TERADYNE INC	2,425.	2,110.
200 SH TERADYNE INC	974.	844.
1335 SH TERADYNE INC	5,528.	5,634.
500 SH TERADYNE INC	2,081.	2,110.
500 SH TERADYNE INC	1,715.	2,110.
200 SH TERADYNE INC	690.	844.

170 SH UNITED NAT FOODS INC	3,213.	3,029.
200 SH UNITED NAT FOODS INC	3,776.	3,564.
700 SH UNITED NAT FOODS INC	12,730.	12,474.
800 SH UNITED NAT FOODS INC	13,641.	14,256.
500 SH UNITED NAT FOODS INC	8,551.	8,910.
200 SH UNITED NAT FOODS INC	3,359.	3,564.
130 SH UNITED NAT FOODS INC	2,155.	2,317.
300 SH WILMINGTON TRUST CORP	8,699.	6,672.
100 SH WILMINGTON TRUST CORP	2,903.	2,224.
200 SH WILMINGTON TRUST CORP	5,725.	4,448.
100 SH WILMINGTON TRUST CORP	2,867.	2,224.
200 SH WILMINGTON TRUST CORP	3,948.	4,448.
100 SH WILMINGTON TRUST CORP	1,979.	2,224.
700 SH ZORAN CORP	9,440.	4,781.
1100 SH ZORAN CORP	14,787.	7,513.
300 SH ZORAN CORP	3,860.	2,049.
500 SH ZORAN CORP	6,473.	3,415.
300 SH ZORAN CORP	3,913.	2,049.
300 SH ZORAN CORP	3,914.	2,049.
100 SH ZORAN CORP	1,292.	683.
50 SH ZORAN CORP	702.	342.
400 SH ZORAN CORP	5,569.	2,732.
100 SH ZORAN CORP	1,365.	683.
300 SH ZORAN CORP	4,076.	2,049.
100 SH ZORAN CORP	1,363.	683.
300 SH ZORAN CORP	4,044.	2,049.
600 SH ZORAN CORP	7,995.	4,098.
700 SH ZORAN CORP	9,072.	4,781.
200 SH ZORAN CORP	2,004.	1,366.
410 SH ZORAN CORP	4,130.	2,800.
900 SH ZORAN CORP	7,228.	6,147.
300 SH ZORAN CORP	2,645.	2,049.
100 SH ZORAN CORP	883.	683.
300 SH ZORAN CORP	2,607.	2,049.
100 SH ZORAN CORP	870.	683.
100 SH ZORAN CORP	866.	683.
350 SH ABRAXIS BIOSCIENCE INC	17,644.	23,072.
3000 SH ALLERGAN INC	193,815.	120,960.
154 SH ALLERGAN INC	9,184.	6,209.
39 SH ALLERGAN INC	2,342.	1,572.
91 SH ALLERGAN INC	5,410.	3,669.
151 SH ALLERGAN INC	8,856.	6,088.
65 SH ALLERGAN INC	3,780.	2,621.
500 SH ALLERGAN INC	32,247.	20,160.
1400 SH AMAZON.COM INC	123,942.	71,792.
302 SH AMAZON.COM INC	21,589.	15,487.
297 SH AMAZON.COM INC	21,544.	15,230.
101 SH AMAZON.COM INC	7,328.	5,179.
168 SH AMAZON.COM INC	10,625.	8,615.
331 SH AMAZON.COM INC	20,878.	16,974.
101 SH AMAZON.COM INC	6,469.	5,179.
74 SH AMAZON.COM INC	5,692.	3,795.
59 SH AMAZON.COM INC	4,541.	3,026.
89 SH AMAZON.COM INC	6,836.	4,564.

89 SH AMAZON.COM INC	6,691.	4,564.
89 SH AMAZON.COM INC	6,627.	4,564.
183 SH AMAZON.COM INC	12,640.	9,384.
77 SH AMAZON.COM INC	5,344.	3,949.
40 SH AMAZON.COM INC	1,749.	2,051.
2600 SH AMERICA MOVIL S A B DE C V	154,466.	80,574.
1200 SH APPLE INC	195,810.	102,420.
159 SH APPLE INC	24,505.	13,571.
55 SH APPLE INC	8,074.	4,694.
36 SH APPLE INC	5,330.	3,073.
200 SH APPLE INC	39,862.	17,070.
108 SH APPLE INC	12,200.	9,218.
112 SH APPLE INC	12,430.	9,559.
30 SH APPLE INC	2,723.	2,561.
3300 SH BROADCOM CORP CL A	94,166.	56,001.
1100 SH BROADCOM CORP CL A	28,798.	18,667.
300 SH CME GROUP INC	194,304.	62,433.
50 SH CME GROUP INC	33,900.	10,406.
5100 SH E M C CORP MASS	99,501.	53,397.
1800 SH E M C CORP MASS	33,354.	18,846.
1000 SH FMC TECHNOLOGIES INC	52,154.	23,830.
200 SH FMC TECHNOLOGIES INC	10,973.	4,766.
47 SH FMC TECHNOLOGIES INC	2,457.	1,120.
66 SH FMC TECHNOLOGIES INC	3,442.	1,573.
90 SH FMC TECHNOLOGIES INC	4,538.	2,145.
103 SH FMC TECHNOLOGIES INC	5,247.	2,454.
17 SH FMC TECHNOLOGIES INC	862.	405.
77 SH FMC TECHNOLOGIES INC	3,778.	1,835.
174 SH FMC TECHNOLOGIES INC	11,939.	4,146.
227 SH FMC TECHNOLOGIES INC	15,066.	5,409.
57 SH FMC TECHNOLOGIES INC	3,786.	1,358.
53 SH FMC TECHNOLOGIES INC	3,607.	1,263.
123 SH FMC TECHNOLOGIES INC	8,472.	2,931.
66 SH FMC TECHNOLOGIES INC	4,489.	1,573.
176 SH FMC TECHNOLOGIES INC	6,310.	4,194.
66 SH FMC TECHNOLOGIES INC	2,364.	1,573.
58 SH FMC TECHNOLOGIES INC	2,268.	1,382.
2500 SH GENZYME CORP	182,350.	165,925.
100 SH GENZYME CORP	7,440.	6,637.
600 SH GOOGLE INC	375,453.	184,590.
1000 SH INTERCONTINENTALEXCHANGE INC	173,145.	82,440.
250 SH INTERCONTINENTALEXCHANGE INC	48,002.	20,610.
97 SH INTERCONTINENTALEXCHANGE INC	9,228.	7,997.
95 SH INTERCONTINENTALEXCHANGE INC	8,981.	7,832.
112 SH INTERCONTINENTALEXCHANGE INC	11,072.	9,233.
34 SH INTERCONTINENTALEXCHANGE INC	3,383.	2,803.
162 SH INTERCONTINENTALEXCHANGE INC	16,528.	13,355.
51 SH INTERCONTINENTALEXCHANGE INC	3,796.	4,204.
32 SH INTERCONTINENTALEXCHANGE INC	2,545.	2,638.
12 SH INTERCONTINENTALEXCHANGE INC	974.	989.
45 SH INTERCONTINENTALEXCHANGE INC	3,630.	3,710.
10 SH INTERCONTINENTALEXCHANGE INC	833.	824.
500 SH INTUITIVE SURGICAL INC	140,315.	63,495.
100 SH INTUITIVE SURGICAL INC	32,747.	12,699.

39 SH INTUITIVE SURGICAL INC	7,108.	4,953.
11 SH INTUITIVE SURGICAL INC	2,061.	1,397.
42 SH INTUITIVE SURGICAL INC	8,257.	5,334.
27 SH INTUITIVE SURGICAL INC	5,205.	3,429.
81 SH INTUITIVE SURGICAL INC	15,568.	10,286.
1600 SH IRON MTN INC PA	57,256.	39,568.
300 SH IRON MTN INC PA	11,070.	7,419.
800 SH LAS VEGAS SANDS CORP	93,400.	4,744.
109 SH LAS VEGAS SANDS CORP	8,733.	646.
96 SH LAS VEGAS SANDS CORP	7,753.	569.
31 SH LAS VEGAS SANDS CORP	2,506.	184.
72 SH LAS VEGAS SANDS CORP	5,836.	427.
89 SH LAS VEGAS SANDS CORP	7,150.	528.
180 SH LAS VEGAS SANDS CORP	14,312.	1,067.
117 SH LAS VEGAS SANDS CORP	9,092.	694.
51 SH LAS VEGAS SANDS CORP	3,784.	302.
54 SH LAS VEGAS SANDS CORP	4,073.	320.
101 SH LAS VEGAS SANDS CORP	7,266.	599.
167 SH LAS VEGAS SANDS CORP	19,699.	990.
16 SH LAS VEGAS SANDS CORP	1,886.	95.
32 SH LAS VEGAS SANDS CORP	3,771.	190.
29 SH LAS VEGAS SANDS CORP	3,431.	172.
32 SH LAS VEGAS SANDS CORP	3,796.	190.
65 SH LAS VEGAS SANDS CORP	7,710.	385.
32 SH LAS VEGAS SANDS CORP	3,785.	190.
27 SH LAS VEGAS SANDS CORP	3,153.	160.
300 SH LAS VEGAS SANDS CORP	31,155.	1,779.
55 SH LAS VEGAS SANDS CORP	4,172.	326.
151 SH LAS VEGAS SANDS CORP	11,338.	895.
127 SH LAS VEGAS SANDS CORP	9,473.	753.
67 SH LAS VEGAS SANDS CORP	4,965.	397.
113 SH LAS VEGAS SANDS CORP	1,671.	670.
194 SH LAS VEGAS SANDS CORP	2,856.	1,150.
542 SH LAS VEGAS SANDS CORP	8,095.	3,214.
51 SH LAS VEGAS SANDS CORP	735.	302.
7270 SH LAS VEGAS SANDS CORP	39,985.	43,111.
1576 SH LAS VEGAS SANDS CORP	8,668.	9,346.
54 SH LAS VEGAS SANDS CORP	244.	320.
8 SH MINDRAY MEDICAL INTERNATIONAL	252.	144.
379 SH MINDRAY MEDICAL INTERNATIONAL	12,347.	6,822.
18 SH MINDRAY MEDICAL INTERNATIONAL	613.	324.
83 SH MINDRAY MEDICAL INTERNATIONAL	2,819.	1,494.
16 SH MINDRAY MEDICAL INTERNATIONAL	528.	288.
45 SH MINDRAY MEDICAL INTERNATIONAL	1,499.	810.
114 SH MINDRAY MEDICAL INTERNATIONAL	3,360.	2,052.
43 SH MINDRAY MEDICAL INTERNATIONAL	1,317.	774.
16 SH MINDRAY MEDICAL INTERNATIONAL	489.	288.
60 SH MINDRAY MEDICAL INTERNATIONAL	1,780.	1,080.
11 SH MINDRAY MEDICAL INTERNATIONAL	324.	198.
22 SH MINDRAY MEDICAL INTERNATIONAL	649.	396.
28 SH MINDRAY MEDICAL INTERNATIONAL	789.	504.
79 SH MINDRAY MEDICAL INTERNATIONAL	2,255.	1,422.
429 SH MINDRAY MEDICAL INTERNATIONAL	12,179.	7,722.
83 SH MINDRAY MEDICAL INTERNATIONAL	2,578.	1,494.

157 SH MINDRAY MEDICAL INTERNATIONAL	4,861.	2,826.
29 SH MINDRAY MEDICAL INTERNATIONAL	858.	522.
111 SH MINDRAY MEDICAL INTERNATIONAL	2,509.	1,998.
103 SH MINDRAY MEDICAL INTERNATIONAL	2,619.	1,854.
17 SH MINDRAY MEDICAL INTERNATIONAL	444.	306.
145 SH MINDRAY MEDICAL INTERNATIONAL	3,748.	2,610.
101 SH MINDRAY MEDICAL INTERNATIONAL	2,586.	1,818.
102 SH MINDRAY MEDICAL INTERNATIONAL	2,508.	1,836.
1 SH MINDRAY MEDICAL INTERNATIONAL	16.	18.
120 SH MONSANTO CO NEW	8,717.	8,442.
173 SH MONSANTO CO NEW	12,567.	12,171.
95 SH MONSANTO CO NEW	7,064.	6,683.
217 SH MONSANTO CO NEW	16,163.	15,266.
119 SH MONSANTO CO NEW	8,932.	8,372.
179 SH MONSANTO CO NEW	13,142.	12,593.
97 SH MONSANTO CO NEW	7,195.	6,824.
1431 SH MOODYS CORP	54,328.	28,749.
700 SH MOODYS CORP	25,229.	14,063.
2800 SH NATIONAL OILWELL VARCO INC.	175,882.	68,432.
95 SH NATIONAL OILWELL VARCO INC.	6,410.	2,322.
28 SH NATIONAL OILWELL VARCO INC.	1,965.	684.
19 SH NATIONAL OILWELL VARCO INC.	1,326.	464.
58 SH NATIONAL OILWELL VARCO INC.	4,042.	1,418.
251 SH NATIONAL OILWELL VARCO INC.	18,748.	6,134.
125 SH NATIONAL OILWELL VARCO INC.	9,319.	3,055.
116 SH NATIONAL OILWELL VARCO INC.	8,763.	2,835.
108 SH NATIONAL OILWELL VARCO INC.	8,192.	2,640.
600 SH NATIONAL OILWELL VARCO INC.	44,112.	14,664.
240 SH NATIONAL OILWELL VARCO INC.	11,769.	5,866.
52 SH NATIONAL OILWELL VARCO INC.	2,589.	1,271.
288 SH NATIONAL OILWELL VARCO INC.	13,584.	7,039.
238 SH NATIONAL OILWELL VARCO INC.	7,183.	5,817.
82 SH NATIONAL OILWELL VARCO INC.	2,493.	2,004.
200 SH NATIONAL OILWELL VARCO INC.	4,557.	4,888.
200 SH NATIONAL OILWELL VARCO INC.	4,572.	4,888.
27 SH NATIONAL OILWELL VARCO INC.	609.	660.
73 SH NATIONAL OILWELL VARCO INC.	1,685.	1,784.
1025 SH QUALCOMM INC	35,423.	36,726.
406 SH QUALCOMM INC	13,980.	14,547.
502 SH QUALCOMM INC	17,310.	17,987.
35 SH QUALCOMM INC	1,197.	1,254.
332 SH QUALCOMM INC	11,389.	11,896.
2100 SH SALESFORCE.COM INC	110,954.	67,221.
300 SH SALESFORCE.COM INC	18,877.	9,603.
2300 SH SCHLUMBERGER LTD	211,853.	97,359.
500 SH SCHLUMBERGER LTD	49,365.	21,165.
56 SH SCHLUMBERGER LTD	2,203.	2,370.
8100 SH STARBUCKS CORP	183,344.	76,626.
1500 SH STARBUCKS CORP	30,390.	14,190.
1300 SH STRYKER CORP	91,761.	51,935.
300 SH STRYKER CORP	22,511.	11,985.
2000 SH VARIAN MEDICAL SYSTEMS INC	98,330.	70,080.
400 SH VARIAN MEDICAL SYSTEMS INC	20,915.	14,016.
894 SH VISA INC-CLASS A SHRS	39,336.	46,890.

743 SH VISA INC-CLASS A SHRS	42,876.	38,970.
649 SH VISA INC-CLASS A SHRS	37,226.	34,040.
89 SH VISA INC-CLASS A SHRS	5,685.	4,668.
75 SH VISA INC-CLASS A SHRS	4,889.	3,934.
16 SH VISA INC-CLASS A SHRS	961.	839.
31 SH VISA INC-CLASS A SHRS	1,853.	1,626.
122 SH VISA INC-CLASS A SHRS	7,310.	6,399.
54 SH VISA INC-CLASS A SHRS	3,167.	2,832.
57 SH VISA INC-CLASS A SHRS	3,420.	2,990.
70 SH VISA INC-CLASS A SHRS	3,811.	3,672.
2000 SH RENAISSANCE RE HLDGS LTD ADR	114,730.	103,120.
100 SH RENAISSANCE RE HLDGS LTD ADR	6,052.	5,156.
1125 SH TYCO ELECTRONICS LTD	18,348.	18,236.
500 SH TYCO ELECTRONICS LTD	8,106.	8,105.
75 SH UBS AG	1,503.	1,073.
375 SH UBS AG	6,879.	5,363.
250 SH UBS AG	4,584.	3,575.
775 SH UBS AG	14,670.	11,083.
500 SH UBS AG	9,294.	7,150.
350 SH UBS AG	6,414.	5,005.
150 SH UBS AG	2,779.	2,145.
50 SH UBS AG	842.	715.
50 SH UBS AG	846.	715.
100 SH UBS AG	1,719.	1,430.
175 SH UBS AG	3,007.	2,503.
75 SH UBS AG	1,273.	1,073.
475 SH UBS AG	7,658.	6,793.
225 SH UBS AG	3,699.	3,218.
75 SH UBS AG	1,025.	1,073.
425 SH UBS AG	5,806.	6,078.
400 SH UBS AG	5,338.	5,720.
250 SH UBS AG	3,315.	3,575.
125 SH UBS AG	1,654.	1,788.
350 SH UBS AG	4,697.	5,005.
325 SH UBS AG	4,362.	4,648.
275 SH UBS AG	3,688.	3,933.
250 SH UBS AG	3,357.	3,575.
125 SH UBS AG	1,576.	1,788.
1175 SH AFFILIATED COMPUTER SVCS INC C	53,282.	53,991.
25 SH AFFILIATED COMPUTER SVCS INC C	1,043.	1,149.
17650 SH ALCATEL-LUCENT	143,495.	37,948.
9200 SH ALCATEL-LUCENT	67,068.	19,780.
2150 SH ALCATEL-LUCENT	11,744.	4,623.
525 SH ALCATEL-LUCENT	2,879.	1,129.
950 SH ALCATEL-LUCENT	5,216.	2,043.
950 SH ALCATEL-LUCENT	5,221.	2,043.
175 SH ALCATEL-LUCENT	950.	376.
225 SH ALCATEL-LUCENT	1,213.	484.
1525 SH ALCATEL-LUCENT	9,096.	3,279.
1750 SH ALLSTATE CORP	93,345.	57,330.
1075 SH ALLSTATE CORP	56,570.	35,217.
2175 SH AMERISOURCEBERGEN CORP	97,812.	77,561.
200 SH AMGEN INC	9,412.	11,550.
50 SH AMGEN INC	2,002.	2,888.

275 SH AMGEN INC	11,471.	15,881.
200 SH AMGEN INC	8,340.	11,550.
175 SH AMGEN INC	7,353.	10,106.
1100 SH AVNET INC	16,613.	20,031.
600 SH AVNET INC	9,104.	10,926.
275 SH AVNET INC	4,699.	5,008.
100 SH AVNET INC	1,714.	1,821.
125 SH AVNET INC	2,163.	2,276.
125 SH AVNET INC	2,144.	2,276.
225 SH AVNET INC	3,767.	4,097.
825 SH AVNET INC	12,329.	15,023.
400 SH AVNET INC	5,998.	7,284.
150 SH AVNET INC	2,077.	2,732.
325 SH AVNET INC	4,482.	5,918.
150 SH AVNET INC	2,065.	2,732.
125 SH AVNET INC	1,746.	2,276.
75 SH AVNET INC	1,040.	1,366.
250 SH AVNET INC	3,762.	4,553.
50 SH AVNET INC	736.	911.
250 SH AVNET INC	3,708.	4,553.
1200 SH B J SERVICES CO	13,896.	14,004.
1100 SH B J SERVICES CO	11,880.	12,837.
1600 SH BANK OF AMERICA CORPORATION	65,616.	22,528.
225 SH BANK OF AMERICA CORPORATION	7,369.	3,168.
475 SH BANK OF AMERICA CORPORATION	15,499.	6,688.
2450 SH BANK OF AMERICA CORPORATION	53,900.	34,496.
850 SH BLACK & DECKER CORP	71,812.	35,539.
575 SH BLACK & DECKER CORP	39,968.	24,041.
200 SH BLACK & DECKER CORP	13,898.	8,362.
375 SH BLACK & DECKER CORP	26,062.	15,679.
325 SH BRISTOL-MYERS SQUIBB COMPANY	7,460.	7,556.
275 SH BRISTOL-MYERS SQUIBB COMPANY	6,323.	6,394.
300 SH BRISTOL-MYERS SQUIBB COMPANY	6,904.	6,975.
325 SH BRISTOL-MYERS SQUIBB COMPANY	7,473.	7,556.
2475 SH CA INC	62,987.	45,862.
1375 SH CA INC	34,953.	25,479.
75 SH CA INC	1,706.	1,390.
750 SH CA INC	17,066.	13,898.
250 SH CA INC	4,633.	4,633.
450 SH CA INC	8,277.	8,339.
550 SH CAPITAL ONE FINANCIAL CORP	29,158.	17,540.
75 SH CAPITAL ONE FINANCIAL CORP	3,798.	2,392.
100 SH CAPITAL ONE FINANCIAL CORP	4,971.	3,189.
50 SH CAPITAL ONE FINANCIAL CORP	2,489.	1,595.
100 SH CAPITAL ONE FINANCIAL CORP	4,973.	3,189.
75 SH CAPITAL ONE FINANCIAL CORP	3,746.	2,392.
1375 SH CAPITAL ONE FINANCIAL CORP	63,985.	43,849.
100 SH CAPITAL ONE FINANCIAL CORP	4,840.	3,189.
100 SH CAPITAL ONE FINANCIAL CORP	4,845.	3,189.
125 SH CAPITAL ONE FINANCIAL CORP	5,775.	3,986.
100 SH CAPITAL ONE FINANCIAL CORP	4,624.	3,189.
75 SH CAPITAL ONE FINANCIAL CORP	3,525.	2,392.
150 SH CAPITAL ONE FINANCIAL CORP	6,964.	4,784.
75 SH CAPITAL ONE FINANCIAL CORP	3,491.	2,392.

3825 SH CITIGROUP INC	130,681.	25,666.
3275 SH CITIGROUP INC	95,597.	21,975.
200 SH CITIGROUP INC	4,400.	1,342.
400 SH CITIGROUP INC	8,835.	2,684.
200 SH CITIGROUP INC	4,710.	1,342.
275 SH CITIGROUP INC	6,487.	1,845.
350 SH CITIGROUP INC	8,151.	2,349.
450 SH CITIGROUP INC	9,555.	3,020.
1050 SH CITIGROUP INC	22,359.	7,046.
1400 SH COMERICA INC	61,915.	27,790.
850 SH COMERICA INC	37,264.	16,873.
1725 SH DELL INC	22,378.	17,664.
550 SH DELL INC	6,834.	5,632.
375 SH FEDERAL HOME LOAN MORTGAGE COR	9,373.	274.
150 SH FEDERAL HOME LOAN MORTGAGE COR	3,837.	110.
25 SH FEDERAL HOME LOAN MORTGAGE COR	641.	18.
125 SH FEDERAL HOME LOAN MORTGAGE COR	3,191.	91.
925 SH FEDERAL HOME LOAN MORTGAGE COR	23,741.	675.
75 SH FEDERAL HOME LOAN MORTGAGE COR	1,917.	55.
125 SH FEDERAL HOME LOAN MORTGAGE COR	3,228.	91.
50 SH FEDERAL HOME LOAN MORTGAGE COR	1,265.	37.
1125 SH FIRST AMERN FINL CORP CALIF	37,508.	32,501.
300 SH HOME DEPOT INC	8,643.	6,906.
3050 SH HOME DEPOT INC	80,639.	70,211.
4325 SH I M S HEALTH INC	99,007.	65,567.
475 SH JP MORGAN CHASE & CO	20,565.	14,977.
625 SH JP MORGAN CHASE & CO	26,850.	19,706.
475 SH JP MORGAN CHASE & CO	18,675.	14,977.
200 SH JP MORGAN CHASE & CO	7,816.	6,306.
475 SH JOHNSON & JOHNSON	31,911.	28,419.
2225 SH KRAFT FOODS INC CL A	72,023.	59,741.
100 SH L-3 COMMUNICATIONS HLDGS INC	11,105.	7,378.
825 SH L-3 COMMUNICATIONS HLDGS INC	87,975.	60,869.
2075 SH LEAR CORP	66,141.	2,926.
3150 SH LEAR CORP	87,449.	4,442.
800 SH MAGNA INTL INC CL A	71,432.	23,944.
425 SH MAGNA INTL INC CL A	34,341.	12,720.
175 SH MAGNA INTL INC CL A	6,396.	5,238.
325 SH MAGNA INTL INC CL A	9,566.	9,727.
125 SH MAGNA INTL INC CL A	3,432.	3,741.
150 SH MAGNA INTL INC CL A	4,217.	4,490.
125 SH MAGNA INTL INC CL A	3,413.	3,741.
325 SH MATTEL INC	5,971.	5,200.
200 SH MATTEL INC	3,753.	3,200.
1200 SH MATTEL INC	22,554.	19,200.
1150 SH MATTEL INC	21,404.	18,400.
850 SH MATTEL INC	15,573.	13,600.
350 SH MATTEL INC	6,416.	5,600.
1850 SH MICROSOFT CORPORATION	62,872.	35,964.
75 SH MICROSOFT CORPORATION	2,692.	1,458.
150 SH MICROSOFT CORPORATION	3,890.	2,916.
200 SH MICROSOFT CORPORATION	5,293.	3,888.
525 SH MICROSOFT CORPORATION	13,902.	10,206.
50 SH MICROSOFT CORPORATION	1,325.	972.

175 SH MICROSOFT CORPORATION	4,626.	3,402.
300 SH MICROSOFT CORPORATION	7,942.	5,832.
550 SH MICROSOFT CORPORATION	14,186.	10,692.
950 SH MORGAN STANLEY	50,141.	15,238.
50 SH MORGAN STANLEY	2,543.	802.
25 SH MORGAN STANLEY	1,273.	401.
75 SH MORGAN STANLEY	3,814.	1,203.
25 SH MORGAN STANLEY	1,277.	401.
75 SH MORGAN STANLEY	3,824.	1,203.
1100 SH MORGAN STANLEY	57,718.	17,644.
275 SH MOTOROLA INC	2,009.	1,218.
752 SH MOTOROLA INC	5,501.	3,331.
825 SH MOTOROLA INC	6,027.	3,655.
225 SH MOTOROLA INC	1,632.	997.
600 SH MOTOROLA INC	4,377.	2,658.
48 SH MOTOROLA INC	353.	213.
750 SH MOTOROLA INC	5,524.	3,323.
100 SH MOTOROLA INC	725.	443.
275 SH MOTOROLA INC	1,986.	1,218.
350 SH MOTOROLA INC	2,539.	1,551.
50 SH MOTOROLA INC	361.	222.
525 SH MOTOROLA INC	3,810.	2,326.
75 SH MOTOROLA INC	543.	332.
225 SH MOTOROLA INC	1,628.	997.
175 SH MOTOROLA INC	1,266.	775.
100 SH MOTOROLA INC	501.	443.
2675 SH MOTOROLA INC	13,924.	11,850.
2400 SH MOTOROLA INC	12,591.	10,632.
375 SH MOTOROLA INC	1,839.	1,661.
1550 SH MOTOROLA INC	7,596.	6,867.
625 SH MOTOROLA INC	2,972.	2,769.
4925 SH NATIONAL CITY CORP	24,600.	8,914.
5150 SH NATIONAL CITY CORP	25,931.	9,322.
3275 SH NATIONAL CITY CORP	16,240.	5,928.
100 SH NATIONAL CITY CORP	501.	181.
625 SH NATIONAL CITY CORP	3,100.	1,131.
750 SH NATIONAL CITY CORP	3,761.	1,358.
125 SH NATIONAL CITY CORP	621.	226.
525 SH NATIONAL CITY CORP	2,649.	950.
75 SH NATIONAL CITY CORP	358.	136.
25 SH NATIONAL CITY CORP	118.	45.
50 SH NATIONAL CITY CORP	238.	91.
75 SH NATIONAL CITY CORP	356.	136.
156 SH NATIONAL CITY CORP	733.	282.
17 SH NATIONAL CITY CORP	79.	31.
50 SH NATIONAL CITY CORP	240.	91.
50 SH NATIONAL CITY CORP	232.	91.
110 SH NATIONAL CITY CORP	526.	199.
600 SH NATIONAL CITY CORP	3,021.	1,086.
525 SH NATIONAL CITY CORP	2,643.	950.
575 SH NATIONAL CITY CORP	2,895.	1,041.
325 SH NATIONAL CITY CORP	1,572.	588.
1525 SH NORTHROP GRUMMAN CORP	123,655.	68,686.
300 SH NORTHROP GRUMMAN CORP	13,180.	13,512.

450 SH NORTHROP GRUMMAN CORP	19,222.	20,268.
75 SH NORTHROP GRUMMAN CORP	3,170.	3,378.
50 SH NORTHROP GRUMMAN CORP	2,113.	2,252.
3100 SH OLD REPUBLIC INTL CORP	46,206.	36,952.
975 SH OLD REPUBLIC INTL CORP	15,303.	11,622.
25 SH OMNICOM GROUP INC	773.	673.
175 SH OMNICOM GROUP INC	5,430.	4,711.
125 SH OMNICOM GROUP INC	3,876.	3,365.
175 SH OMNICOM GROUP INC	5,440.	4,711.
325 SH OMNICOM GROUP INC	10,233.	8,749.
25 SH OMNICOM GROUP INC	747.	673.
25 SH OMNICOM GROUP INC	750.	673.
25 SH OMNICOM GROUP INC	706.	673.
50 SH OMNICOM GROUP INC	1,440.	1,346.
100 SH OMNICOM GROUP INC	2,262.	2,692.
375 SH OMNICOM GROUP INC	10,292.	10,095.
175 SH OMNICOM GROUP INC	4,847.	4,711.
350 SH OMNICOM GROUP INC	9,536.	9,422.
175 SH OMNICOM GROUP INC	4,486.	4,711.
50 SH OMNICOM GROUP INC	1,278.	1,346.
450 SH PENNEY J C INC	13,559.	8,865.
350 SH PENNEY J C INC	10,558.	6,895.
325 SH PENNEY J C INC	9,834.	6,403.
750 SH PENNEY J C INC	22,900.	14,775.
650 SH PENNEY J C INC	19,265.	12,805.
500 SH PENNEY J C INC	9,403.	9,850.
1175 SH PENNEY J C INC	22,013.	23,148.
100 SH PENNEY J C INC	2,277.	1,970.
150 SH PENNEY J C INC	3,378.	2,955.
100 SH PENNEY J C INC	2,181.	1,970.
75 SH PENNEY J C INC	1,597.	1,478.
225 SH PENNEY J C INC	3,799.	4,433.
300 SH PENNEY J C INC	4,896.	5,910.
100 SH PENNEY J C INC	1,538.	1,970.
300 SH PENNEY J C INC	4,876.	5,910.
125 SH PENNEY J C INC	1,946.	2,463.
100 SH PENNEY J C INC	1,591.	1,970.
100 SH PENNEY J C INC	1,545.	1,970.
125 SH PENNEY J C INC	1,989.	2,463.
2025 SH POPULAR INC	19,440.	10,449.
75 SH POPULAR INC	718.	387.
2600 SH POPULAR INC	24,943.	13,416.
200 SH POPULAR INC	1,822.	1,032.
1150 SH POPULAR INC	10,159.	5,934.
150 SH POPULAR INC	1,510.	774.
75 SH POPULAR INC	812.	387.
150 SH POPULAR INC	1,620.	774.
900 SH POPULAR INC	7,877.	4,644.
25 SH POPULAR INC	218.	129.
1300 SH POPULAR INC	10,950.	6,708.
1800 SH SCHERING PLOUGH CORP	33,778.	30,654.
1175 SH SCHERING PLOUGH CORP	22,571.	20,010.
1175 SH SCHERING PLOUGH CORP	22,264.	20,010.
1100 SH SEMPRA ENERGY	65,351.	46,893.

625 SH SEMPRA ENERGY	36,018.	26,644.
275 SH SEMPRA ENERGY	15,796.	11,723.
100 SH SEMPRA ENERGY	4,119.	4,263.
150 SH SEMPRA ENERGY	6,197.	6,395.
50 SH T J X COMPANIES INC	1,431.	1,029.
775 SH TORCHMARK CORP	48,488.	34,643.
375 SH WELLPOINT INC	14,575.	15,799.
250 SH WELLPOINT INC	9,642.	10,533.
100 SH WELLPOINT INC	3,888.	4,213.
1150 SH WHIRLPOOL CORP	88,475.	47,553.
150 SH WHIRLPOOL CORP	12,307.	6,203.
1325 SH WISCONSIN ENERGY CORP	62,169.	55,624.
TOTAL TO FORM 990-PF, PART II, LINE 10B	118,410,149.	82,253,599.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
50000 SH NYNEX CAP FDG CO MEDIUM TERM N	53,281.	49,977.
TOTAL TO FORM 990-PF, PART II, LINE 10C	53,281.	49,977.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MAST CREDIT OPPORTUNITIES I, LIMITED OS	COST	895,706.	915,015.
REAL ASSET CTF	COST	0.	0.
BLACKSTONE REAL ESTATE PARTNERS V AND VI, LP	COST	3,640,010.	3,786,016.
DARWIN VENTURES	COST	659,693.	750,000.
FAIRHOLME HOLDINGS LTD.	COST	1,435,413.	395,855.
LEGACY VENTURE FUNDS	COST	988,970.	919,622.
OCH ZIFF CAPITAL ADVISORS	COST	3,372,787.	2,907,224.
SPECIAL OPPORTUNITIES FUNDS	COST	2,686,242.	2,374,846.
WINSTON GLOBAL FUND	COST	4,196,451.	3,521,453.
263,478.78 SH THE OPTIMA PARTNERS FOCUS FUND, OS	COST	3,220,334.	2,163,794.
255,858.91 SH THE PLATINUM US TAX EXEMPT FUND, OS	COST	3,110,922.	2,483,340.
TOTAL TO FORM 990-PF, PART II, LINE 13		24,206,528.	20,217,165.

FORM 990-PF	OTHER ASSETS	STATEMENT	14
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SPORTS MEMORABILIA COLLECTION	5,000.	5,000.	5,000.
TO FORM 990-PF, PART II, LINE 15	5,000.	5,000.	5,000.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	15
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NAME OF CONTRIBUTOR	ADDRESS
ESTATE OF HAROLD ALFOND	1201 GEORGE BUSH BOULEVARD, DELRAY BEACH, FL 33483

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	16
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
THEODORE ALFOND 1 CHESTNUT STREET WESTON, MA 02193	TRUSTEE 2.00	0.	0. 0.
WILLIAM ALFOND 14 OTIS PLACE BOSTON, MA 02108	TRUSTEE 1.00	0.	0. 0.
PETER ALFOND C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	TRUSTEE 1.00	0.	0. 0.
GREGORY POWELL (SEE FOOTNOTE) C/O DEXTER ENT., TWO MONUMENT SQUARE PORTLAND, ME 04101	TRUSTEE 10.00	0.	0. 0.

HAROLD ALFOND FOUNDATION C/O DEXTER ENTE

22-3281672

PETER LUNDER TRUSTEE
TWO MONUMENT SQUARE, SUITE 702 1.00
PORTLAND, ME 04101

0. 0. 0.

ROBERT MARDEN TRUSTEE
C/O DEXTER ENT., TWO MONUMENT
SQUARE 1.00
PORTLAND, ME 04101

0. 0. 0.

LARRY PUGH TRUSTEE
C/O DEXTER ENT., TWO MONUMENT
SQUARE 1.00
PORTLAND, ME 04101

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

MYERS KAUPPILA FAMILY FOUNDATION

GRANTEE'S ADDRESS

29 COMMONWEALTH AVENUE SUITE 201
BOSTON, MA 02116

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
2,500.	08/14/08	2,500.

PURPOSE OF GRANT

THE GRANT WAS MADE TO THE MYERS KAUPPILA FAMILY FOUNDATION (THE KAUPPILA FOUNDATION) TO BE USED IN A MANNER CONSISTENT WITH THE KAUPPILA FOUNDATION'S CHARITABLE MISSION. THE KAUPPILA FOUNDATION MAKES CHARITABLE DISBURSEMENTS TO ORGANIZATIONS FOR CHARITABLE, SCIENTIFIC AND EDUCATIONAL PURPOSES.

DATES OF REPORTS BY GRANTEE

AUGUST 7, 2009

ANY DIVERSION BY GRANTEE

NONE KNOWN.

RESULTS OF VERIFICATION

IN ADDITION TO RECEIPT OF THE GRANTEE REPORT, THE GRANTOR REVIEWED THE 2005, 2006 AND 2007 FORMS 990-PF FILED BY THE KAUPPILA FOUNDATION WITH THE INTERNAL REVENUE SERVICE. THESE FORMS INDICATE THE KAUPPILA FOUNDATION MAKES SIGNIFICANT QUALIFYING DISTRIBUTIONS TO PUBLIC CHARITIES. THE KAUPPILA FOUNDATION'S RATIO OF ADJUSTED QUALFYING DISTRIBUTIONS TO THE NET VALUE OF NONCHARITABLE USE ASSETS AVERAGED 32.256% FOR THE PERIOD FROM 2000 THROUGH 2007.

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 18

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE HAROLD ALFOND FOUNDATION
C/O DEXTER ENTERPRISES, INC. TWO MONUMENT SQUARE
PORTLAND, ME 04101

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

207-828-7999

NOT APPLICABLE

FORM AND CONTENT OF APPLICATIONS

APPLICANTS SHOULD SUBMIT THEIR REQUESTS AS FOLLOWS:

- 1) A BRIEF (1-3) PAGE LETTER DESCRIBING THE PROJECT, DRIVE OR CAMPAIGN. THIS SHOULD INCLUDE A STATEMENT OF NEED, TOTAL FUND RAISING GOAL, AND AMOUNT REQUESTED FROM THE FOUNDATION.
- 2) CAPITAL CAMPAIGNS AND SPECIAL PROJECTS SHOULD ALSO INCLUDE A BUDGET.
- 3) ORGANIZATIONS SHOULD ENCLOSE A COPY OF THEIR 501(C)(3) DETERMINATION LETTER.
- 4) OTHER SUPPORTING MATERIAL.

ANY SUBMISSION DEADLINES

NO SUBMISSION DEADLINES.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION CONTRIBUTES TO ORGANIZATIONS IN THE FIELDS OF HIGHER EDUCATION, THE ARTS AND HEALTH CARE. THE FOUNDATION ALSO CONTRIBUTES TO WORTHY CHARITIES WITHIN ITS PRINCIPAL AREA OF GEOGRAPHIC INTEREST OF MAINE, WITH EMPHASIS ON CENTRAL MAINE.
WHEN CONSIDERING MAJOR GRANTS, THE FOUNDATION FREQUENTLY REQUIRES THAT THE GRANTEE RAISE MATCHING FUNDS FROM OTHER ORGANIZATIONS AND INDIVIDUALS IN ORDER TO QUALIFY FOR THE GRANT.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 19

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALFOND SCHOLARSHIP FOUNDATION		501C3-509A3 SUPPRTG ORG TYPE 1	310,400.
% MAINE COMPACT FOR HIGHER EDUCATION 15 MONUMENT SQUARE, PORTLAND, ME 04101	SCHOLARSHIPS		
ALFOND SCHOLARSHIP FOUNDATION		501C3-509A3 SUPPRTG ORG TYPE 1	178,705.
% MAINE COMPACT FOR HIGHER EDUCATION 15 MONUMENT SQUARE, PORTLAND, ME 04101	GENERAL SUPPORT		
ALFOND YOUTH CENTER, 126 NORTH STREET, WATERVILLE, ME 04901		PUBLIC CHARITY 501C3-509A1	3,595,834.
	ENDOWMENT		
ALFOND YOUTH CENTER, 126 NORTH STREET, WATERVILLE, ME 04901		PUBLIC CHARITY 501C3-509A1	100,000.
	2008 ANNUAL FUND CHALLENGE		
ALFOND YOUTH CENTER, 126 NORTH STREET, WATERVILLE, ME 04901		PUBLIC CHARITY 501C3-509A1	10,000.
	CAMP TRACY CAMPERSHIPS		
ARIEL EDUCATION INITIATIVE, 200 EAST RANDOLPH SUITE 2900, CHICAGO, IL 60601		PUBLIC CHARITY 501C3-509A1	2,500.
	UNRESTRICTED		
AROOSTOOK TEEN LEADERSHIP CAMP, PO BOX 1018, CARIBOU, ME 04736		PUBLIC CHARITY 501C3-509A1	200.
	ANNUAL RESIDENTIAL SUMMER CAMP		

BELGRADE REGIONAL HEALTH CENTER,
PO BOX 274, BELGRADE LAKES, ME
04918

OPERATING SUPPORT

PUBLIC
CHARITY
501C3-509A1 15,000.

BETH ISRAEL CONGREGATION, 291
MAIN STREET, WATERVILLE, ME 04901

ANNUAL APPEAL & NEW
ROOF

PUBLIC
CHARITY
501C3-509A1 15,000.

CATHERINE MCAULEY HIGH SCHOOL,
631 STEVENS AVENUE, PORTLAND, ME
04103

RELOCATE "GOOD CAUSE"
THRIFT STORE

PUBLIC
CHARITY
501C3-509A1 6,000.

COASTAL MAINE BOTANICAL GARDENS,
PO BOX 234, BOOTHBAY, ME 04537

THE CHILDREN'S GARDEN

PUBLIC
CHARITY
501C3-509A1 500,000.

COLBY COLLEGE, 4800 MAYFLOWER
HILL, WATERVILLE, ME 04901

STADIUM

PUBLIC
CHARITY
501C3-509A1 500,000.

COLLEGE OF THE ATLANTIC, 105 EDEN
STREET, BAR HARBOR, ME 04609

DAVIS UNITED WORLD
COLLEGE SCHOLARS FUND

PUBLIC
CHARITY
501C3-509A1 5,000.

GOOD WILL-HINCKLEY HOMES FOR BOYS
& GIRLS

PO BOX 159, HINCKLEY, ME
04944-0159

ALFOND FAMILY COTTAGE

PUBLIC
CHARITY
501C3-509A1 144,390.

GOVERNOR DUMMER ACADEMY, 1 ELM
STREET, BYFIELD, MA 01922

CAPITAL
CAMPAIGN/SCHOLARSHIP
FUND

PUBLIC
CHARITY
501C3-509A1 198,636.

GULF OF MAINE RESEARCH INSTITUTE		501C3-509A2	
		1/3 GRS	
		RECPT ORG	2,500.
350 COMMERCIAL STREET, PORTLAND, ME 04101	LABVENTURE		
HOLOCAUST HUMAN RIGHTS CENTER OF MAINE		PUBLIC CHARITY	
		501C3-509A1	60,000.
PO BOX 4645, AUGUSTA, ME 04330-1644	HOLOCAUST RESOURCE CENTER		
KENNEBEC BEHAVIORAL HEALTH, 67 EUSTIS PARKWAY, WATERVILLE, ME 04901		PUBLIC CHARITY	
		501C3-509A1	1,000.
	GENERAL OPERATING SUPPORT		
KENTS HILL SCHOOL, 1614 MAIN STREET PO BOX 257, KENTS HILL, ME 04349-0257		PUBLIC CHARITY	
		501C3-509A1	2,700,000.
	SYNTHETIC TURF FIELD / LOCKER ROOM		
LITTLE LEAGUE BASEBALL INC, 75 OAKLAND STREET, WATERVILLE, ME 04901		PUBLIC CHARITY	
		501C3-509A1	150.
	GENERAL SUPPORT		
MAINE CHILDRENS HOME FOR LITTLE WANDERERS		PUBLIC CHARITY	
		501C3-509A1	15,000.
93 SILVER STREET, WATERVILLE, ME 04901	2008 OPERATING SUPPORT		
MAINE COMMUNITY FOUNDATION INC, 245 MAIN STREET, ELLSWORTH, ME 04605		PUBLIC CHARITY	
		501C3-509A1	2,500.
	H SCHMELTZER COLL TRANS SCHOLARSHIP		
MAINEGENERAL MEDICAL CENTER, 157 CAPITOL STREET, AUGUSTA, ME 04330		PUBLIC CHARITY	
		501C3-509A1	2,000,000.
	CANCER CENTER		

MAINEGENERAL MEDICAL CENTER, 157
CAPITOL STREET, AUGUSTA, ME 04330

CARDIAC CARE PROGRAM

PUBLIC
CHARITY
501C3-509A1 589.

MYERS KAUPPILA FAMILY FOUNDATION

29 COMMONWEALTH AVE SUITE 201,
BOSTON, MA 02116

CHARITABLE
DISBURSEMENTS

501C3-509A
PRIVATE
FOUNDATION 2,500.

NORWAY MEMORIAL LIBRARY, 258 MAIN
STREET, NORWAY, ME 04268

UNRESTRICTED

170C1 GVMT
UNIT 10,000.

RED SOX FOUNDATION, 4 YAWKEY WAY,
BOSTON, MA 02215

UNRESTRICTED

PUBLIC
CHARITY
501C3-509A1 10,000.

SAINT JOHN'S SCHOOL, 37 PLEASANT
STREET, BRUNSWICK, ME 04011

UNRESTRICTED

PUBLIC
CHARITY
501C3-509A1 200.

SAINT JOSEPH'S COLLEGE, 278
WHITES BRIDGE ROAD, STANDISH, ME
04084

ACADEMIC HALL

PUBLIC
CHARITY
501C3-509A1 199,336.

SUSAN CURTIS FOUNDATION, 1321
WASHINGTON AVE SUITE 104,
PORTLAND, ME 04103

15 CAMPERSHIPS

PUBLIC
CHARITY
501C3-509A1 14,700.

NATIONAL FOOTBALL FOUNDATION AND
COLLEGE HALL OF FAME

433 LAS COLINAS BLVD. SUITE 130,
IRVING, TX 75039

HAROLD ALFOND
SCHOLARSHIP

501C3-509A2
1/3 GRS
RECPT ORG 18,000.

UNITED WAY OF MID-MAINE INC, 45
ARMORY ROAD PO BOX 91,
WATERVILLE, ME 04903-

2007 ANNUAL FUND

PUBLIC
CHARITY
501C3-509A1 10,000.

UNITED WAY OF MID-MAINE INC, 45
ARMORY ROAD PO BOX 91,
WATERVILLE, ME 04903-

2008 ANNUAL FUND

PUBLIC
CHARITY
501C3-509A1 10,000.

UNIVERSITY OF MAINE, 5747
MEMORIAL GYM, ORONO, ME 04469

ARENA EXPANSION SHAWN
WALSH HOCKEY WING

PUBLIC
CHARITY
501C3-509A1 194,267.

UNIVERSITY OF MAINE FOUNDATION, 2
ALUMNI PLACE, ORONO, ME 04469

2007 FOOTBALL CHALLENGE

PUBLIC
CHARITY
501C3-509A1 250,000.

UNIVERSITY OF MIAMI, 1550 BRESCIA
AVENUE, CORAL GABLES, FL 33146

PARENTS PROGRAM

PUBLIC
CHARITY
501C3-509A1 2,500.

UNIVERSITY OF NOTRE DAME, C108
JOYCE CENTER, NOTRE DAME, IN
46556

KEVIN WHITE FUNDRAISER

PUBLIC
CHARITY
501C3-509A1 1,000.

WATERVILLE OPERA HOUSE
ASSOCIATION

93 MAIN STREET, WATERVILLE, ME
04901

2008 ANNUAL FUND

501C3-509A2
1/3 GRS
RECPT ORG 15,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

11,100,907.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
APPROVED FOR FUTURE PAYMENT

STATEMENT 20

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALFOND YOUTH CENTER, 126 NORTH STREET, WATERVILLE, ME 04901	ENDOWMENT	PUBLIC CHARITY 501C3-509A1	1,404,166.
COASTAL MAINE BOTANICAL GARDENS, PO BOX 234, BOOTHBAY, ME 04537	THE CHILDREN'S GARDEN	PUBLIC CHARITY 501C3-509A1	1,000,000.
COLBY COLLEGE, 4800 MAYFLOWER HILL, WATERVILLE, ME 04901	STADIUM	PUBLIC CHARITY 501C3-509A1	2,500,000.
MAINEGENERAL MEDICAL CENTER, 157 CAPITOL STREET, AUGUSTA, ME 04330	CANCER CENTER	PUBLIC CHARITY 501C3-509A1	1,000,000.
UNIVERSITY OF MAINE FOUNDATION, 2 ALUMNI PLACE, ORONO, ME 04469	2008 FOOTBALL CHALLENGE	PUBLIC CHARITY 501C3-509A1	250,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3B			6,154,166.

Underpayment of Estimated Tax by Corporations

▶ See separate instructions.
▶ Attach to the corporation's tax return.

FORM 990-PF

2008

Name **HAROLD ALFOND FOUNDATION**
C/O DEXTER ENTERPRISES, INC.Employer identification number
22-3281672

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	2,226.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c	Credit for federal tax paid on fuels (see instructions)	2c	
2d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	2,226.
4	Enter the tax shown on the corporation's 2007 income tax return (see instructions). Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	137,183.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	2,226.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty (see instructions).

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☒ The corporation is using the annualized income installment method.
- 8 ☒ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/08	06/15/08	09/15/08	12/15/08
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 5 above in each column.	10	557.	556.	557.	556.
11 Estimated tax paid or credited for each period (see instructions). For column (a) only, enter the amount from line 11 on line 15	11	33,984.			
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		33,427.	32,871.	32,314.
13 Add lines 11 and 12	13		33,427.	32,871.	32,314.
14 Add amounts on lines 16 and 17 of the preceding column	14				
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	33,984.	33,427.	32,871.	32,314.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	33,427.	32,871.	32,314.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see instructions). (Form 990-PF and Form 990-T filers: Use 5th month instead of 3rd month.)	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2008 and before 7/1/2008	21			
22 Underpayment on line 17 x Number of days on line 21 x 6% 365	22	\$	\$	\$
23 Number of days on line 20 after 06/30/2008 and before 10/1/2008	23			
24 Underpayment on line 17 x Number of days on line 23 x 5% 365	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2008 and before 1/1/2009	25			
26 Underpayment on line 17 x Number of days on line 25 x 6% 365	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2008 and before 4/1/2009	27			
28 Underpayment on line 17 x Number of days on line 27 x 5% 365	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2009 and before 7/1/2009	29			
30 Underpayment on line 17 x Number of days on line 29 x % 365	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2009 and before 10/01/2009	31			
32 Underpayment on line 17 x Number of days on line 31 x % 365	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2009 and before 1/1/2010	33			
34 Underpayment on line 17 x Number of days on line 33 x % 365	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2009 and before 2/16/2010	35			
36 Underpayment on line 17 x Number of days on line 35 x % 365	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120; line 33; or the comparable line for other income tax returns	38	\$		0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A**Adjusted Seasonal Installment Method and Annualized Income Installment Method** (see instructions)

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I - Adjusted Seasonal Installment Method (Caution: Use this method only if the base period percentage for

any 6 consecutive months is at least 70%. See instructions.)

		(a) First 3 months	(b) First 5 months	(c) First 8 months	(d) First 11 months
1 Enter taxable income for the following periods:					
a Tax year beginning in 2005	1a				
b Tax year beginning in 2006	1b				
c Tax year beginning in 2007	1c				
2 Enter taxable income for each period for the tax year beginning in 2008. (see instructions for the treatment of extraordinary items).	2				
3 Enter taxable income for the following periods:		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2005	3a				
b Tax year beginning in 2006	3b				
c Tax year beginning in 2007	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on line 9c using the instr for Form 1120, Sch J, line 2 (or comparable line of corp's return) ..	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax for each payment period (see instructions)	15				
16 Enter any other taxes for each payment period (see instr)	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c (see instructions)	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II - Annualized Income Installment Method

		(a) First <u>2</u> months	(b) First <u>3</u> months	(c) First <u>6</u> months	(d) First <u>9</u> months
20 Annualization periods (see instructions)	20				
21 Enter taxable income for each annualization period (see instructions for the treatment of extraordinary items)	21	61,838.	93,694.	190,512.	356,000.
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22	23a	371,028.	374,776.	381,024.	474,665.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c	371,028.	374,776.	381,024.	474,665.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2 (or comparable line of corporation's return)	24	3,710.	3,748.	3,810.	4,747.
25 Enter any alternative minimum tax for each payment period (see instructions)	25				
26 Enter any other taxes for each payment period (see instr)	26				
27 Total tax. Add lines 24 through 26	27	3,710.	3,748.	3,810.	4,747.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c (see instructions)	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	3,710.	3,748.	3,810.	4,747.
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31	928.	1,874.	2,858.	4,747.

Part III - Required Installments

		1st installment	2nd installment	3rd installment	4th installment
Note: Complete lines 32 through 38 of one column before completing the next column.					
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	928.	1,874.	2,858.	4,747.
33 Add the amounts in all preceding columns of line 38 (see instructions)	33		557.	1,113.	1,670.
34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	34	928.	1,317.	1,745.	3,077.
35 Enter 25% of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	35	557.	556.	557.	556.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36				
37 Add lines 35 and 36	37	557.	556.	557.	556.
38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10 (see instructions)	38	557.	556.	557.	556.

Form 2220 (2008)

**** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**